

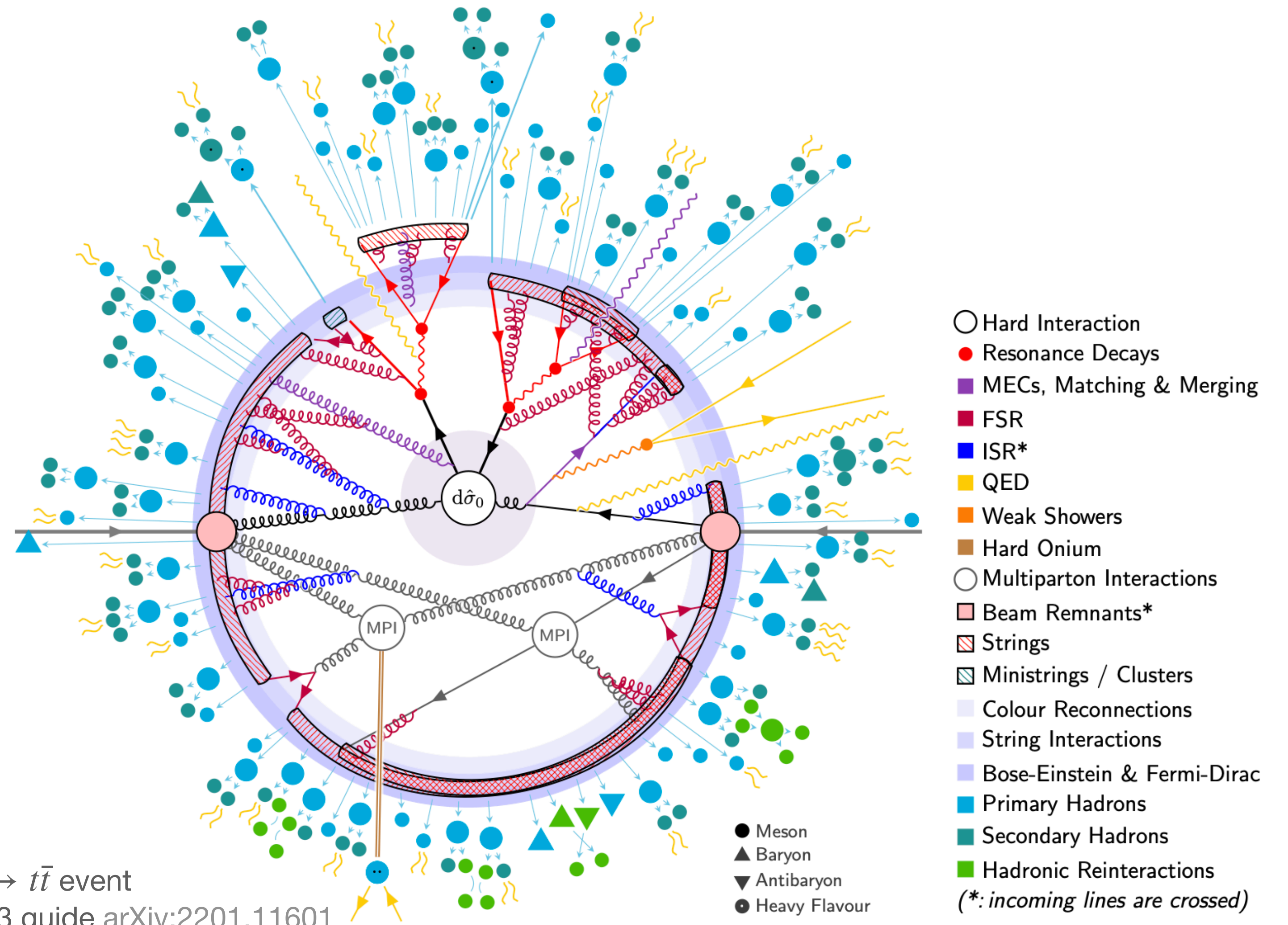
# Hadronisation in PYTHIA8

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Javira Altmann, Monash University

# Overview

- Brief recap of hadronisation using the Lund String Model
- Colour reconnections
- Junctions
- Impact on heavy-flavour baryon production
- What needs to be explored further?
- What about pA and AA?



Example of  $pp \rightarrow t\bar{t}$  event  
From PYTHIA 8.3 guide arXiv:2201.11601

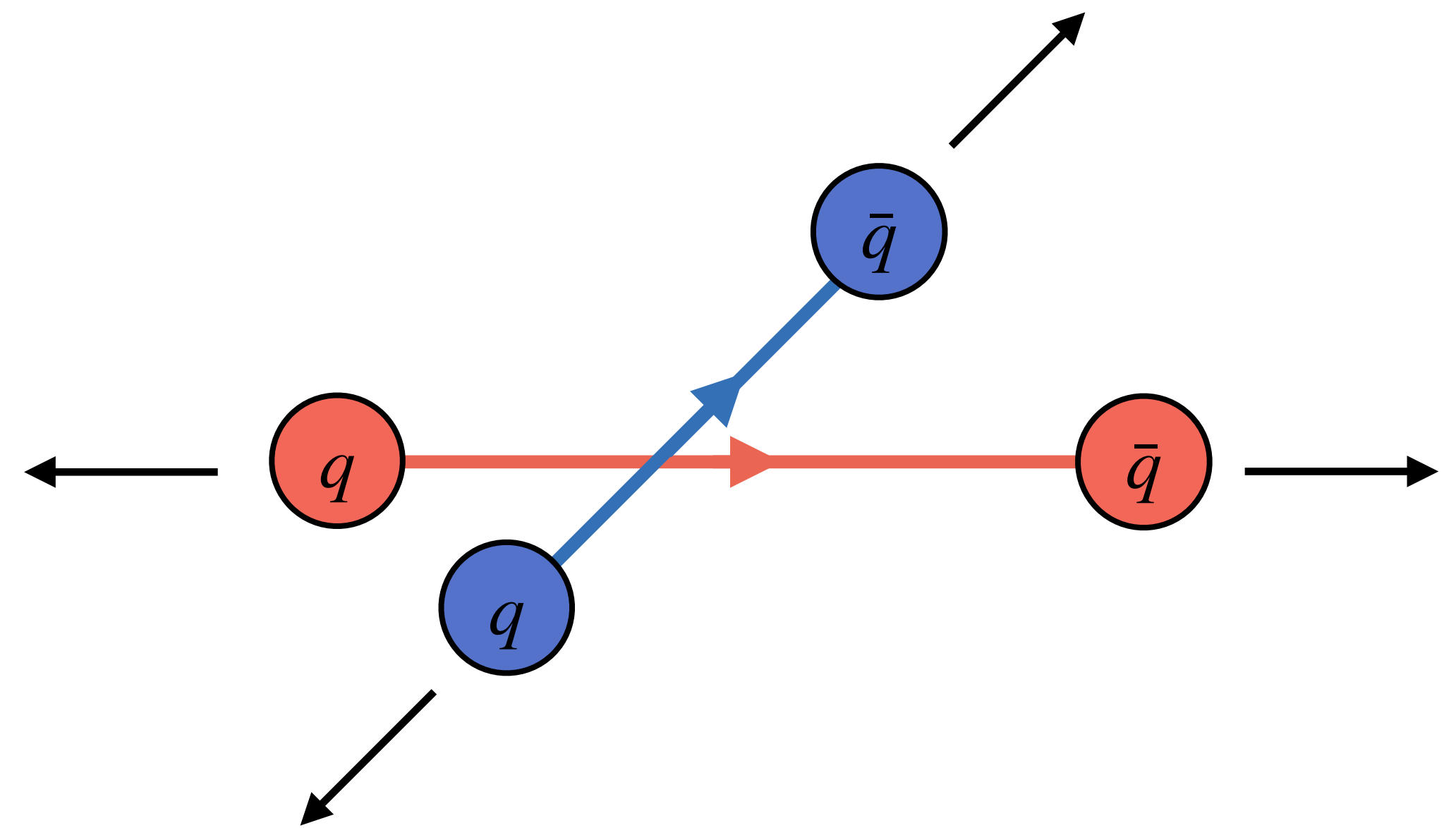
# Hadronisation and the Lund String Model

What is a “string”?

- **Colour confinement field** stretched between partons
- Defined by fundamental parameter, the constant string tension  $\kappa \sim 1 \text{ GeV/fm}$

**Key features:**

- *String configuration* : scattering of colour charges
- *String breaks* : map partons to hadrons



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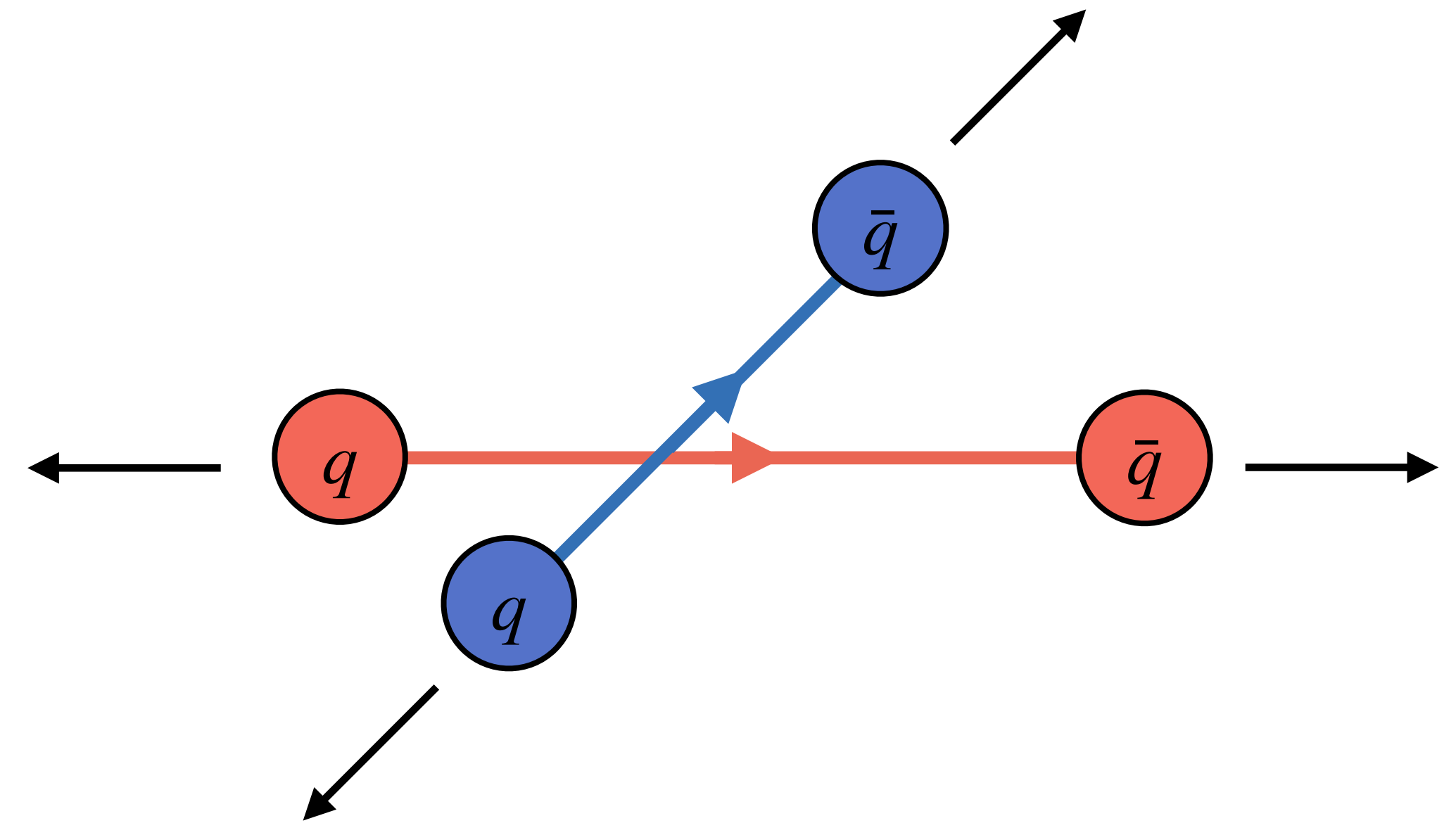
Key features:

- **String configuration** : scattering of colour charges
- *String breaks* : map partons to hadrons

Governed by perturbative partonic scattering of colour charges (QCD  $2 \rightarrow 2$  ME)

Confinement fields (strings) will form between a parton with its closest colour connected parton to form an overall singlet state

e.g. a **red** colour charge will stretch a confinement field to the nearest **anti-red** charge that makes a singlet state





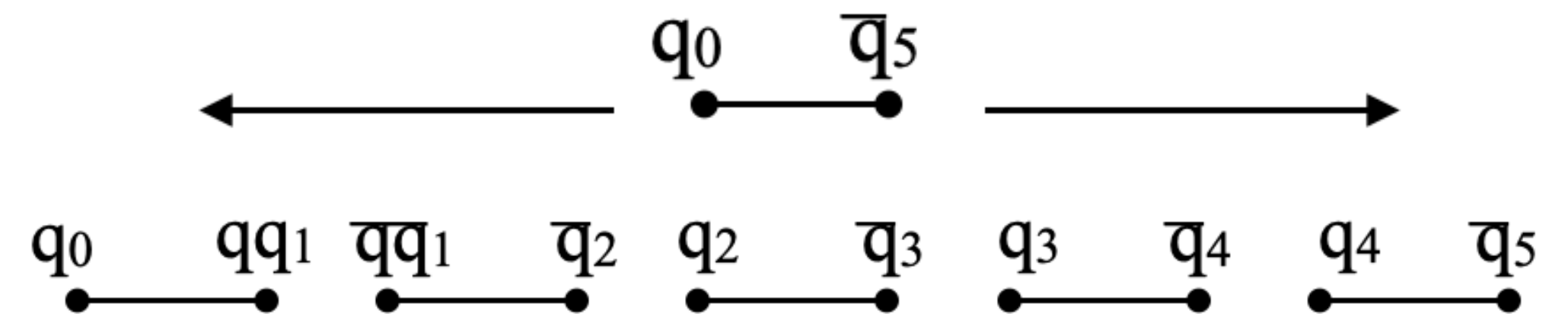
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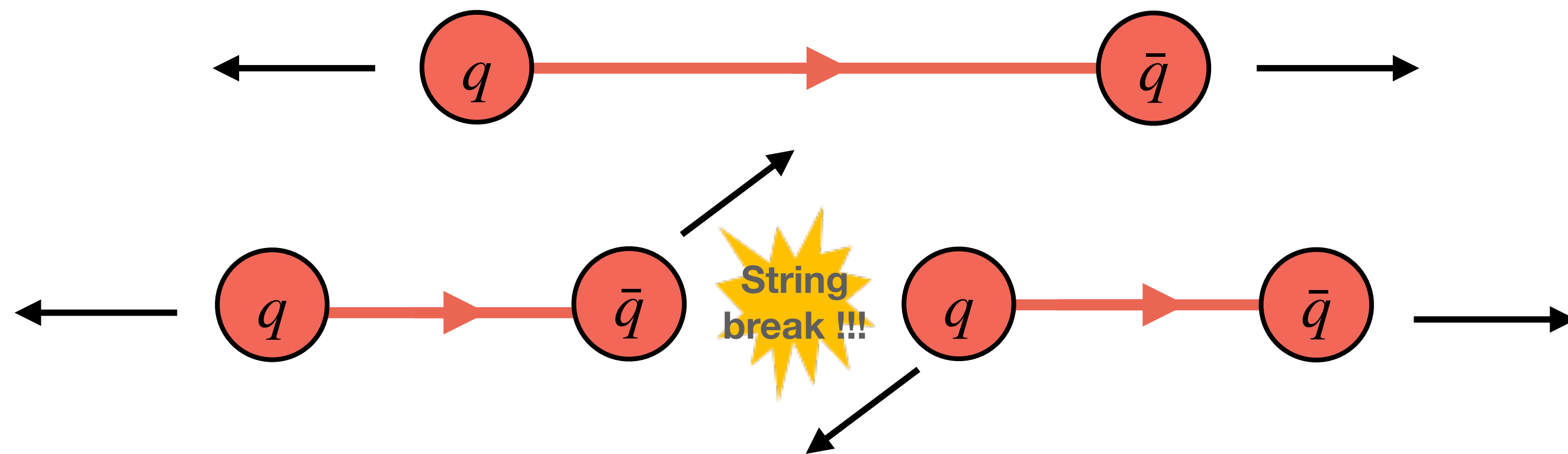
Key features:

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What happens when a **string breaks**?

- spontaneous **pair creation** at the site of string breaks modelled as a quantum tunnelling process



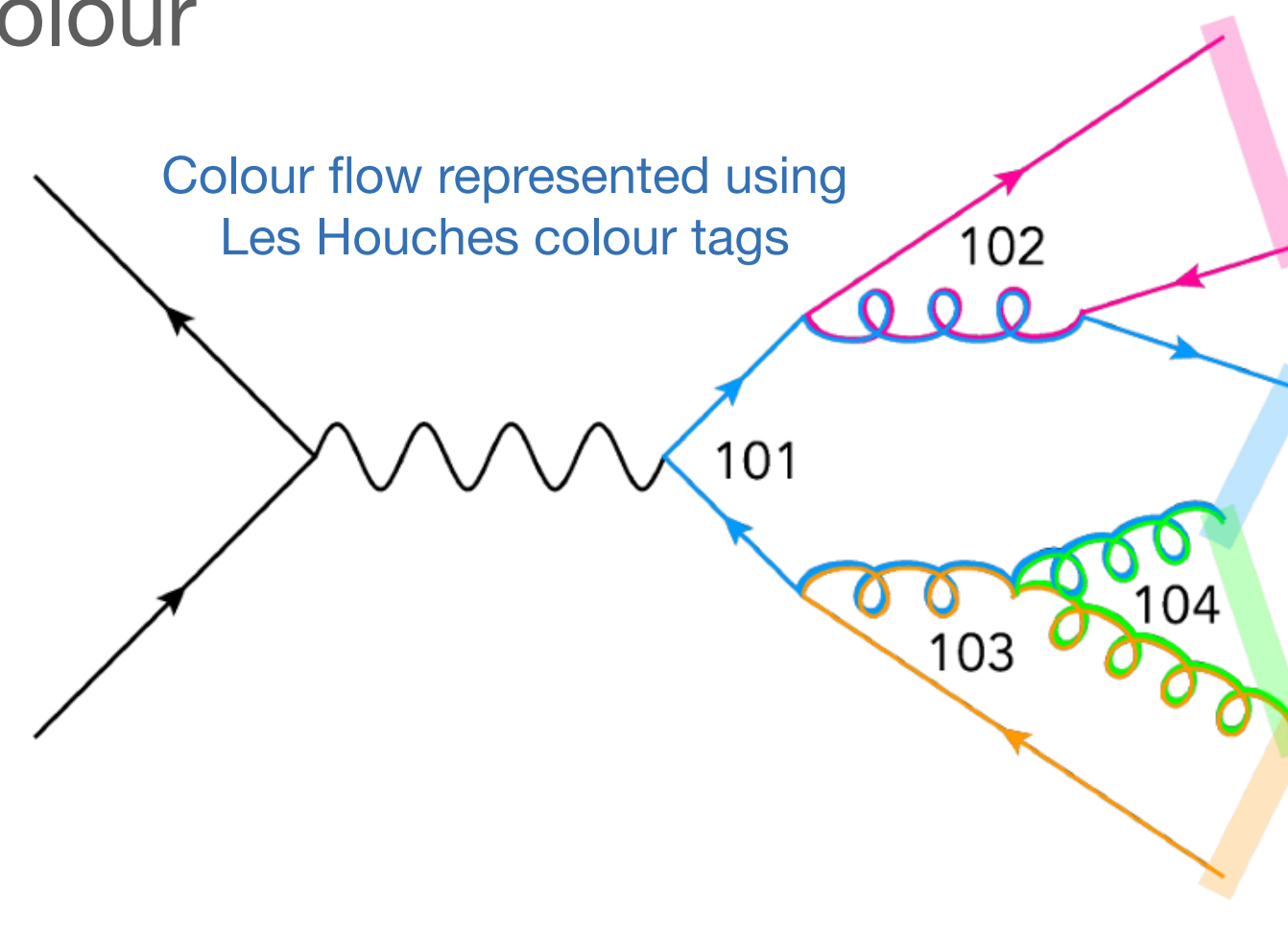
Only light quark-antiquark, or diquark-antidiquark pairs can be created via string fragmentation.  
Any  $b$  or  $c$  quarks must come from hard processes

# Colour Flow

Starting point for PYTHIA is the **Leading Colour** (LC) limit  $N_C \rightarrow \infty$

- Simplified version to trace where do the colours scatter, giving unambiguous string topologies
- Allows dipole strings only
- Each new colour pair is given a new tag
- Colours uniquely matched to an anticolour

Example from Pythia 8.3 manual :  
 $e^+e^- \rightarrow Z_0 \rightarrow q\bar{q} + \text{parton shower}$



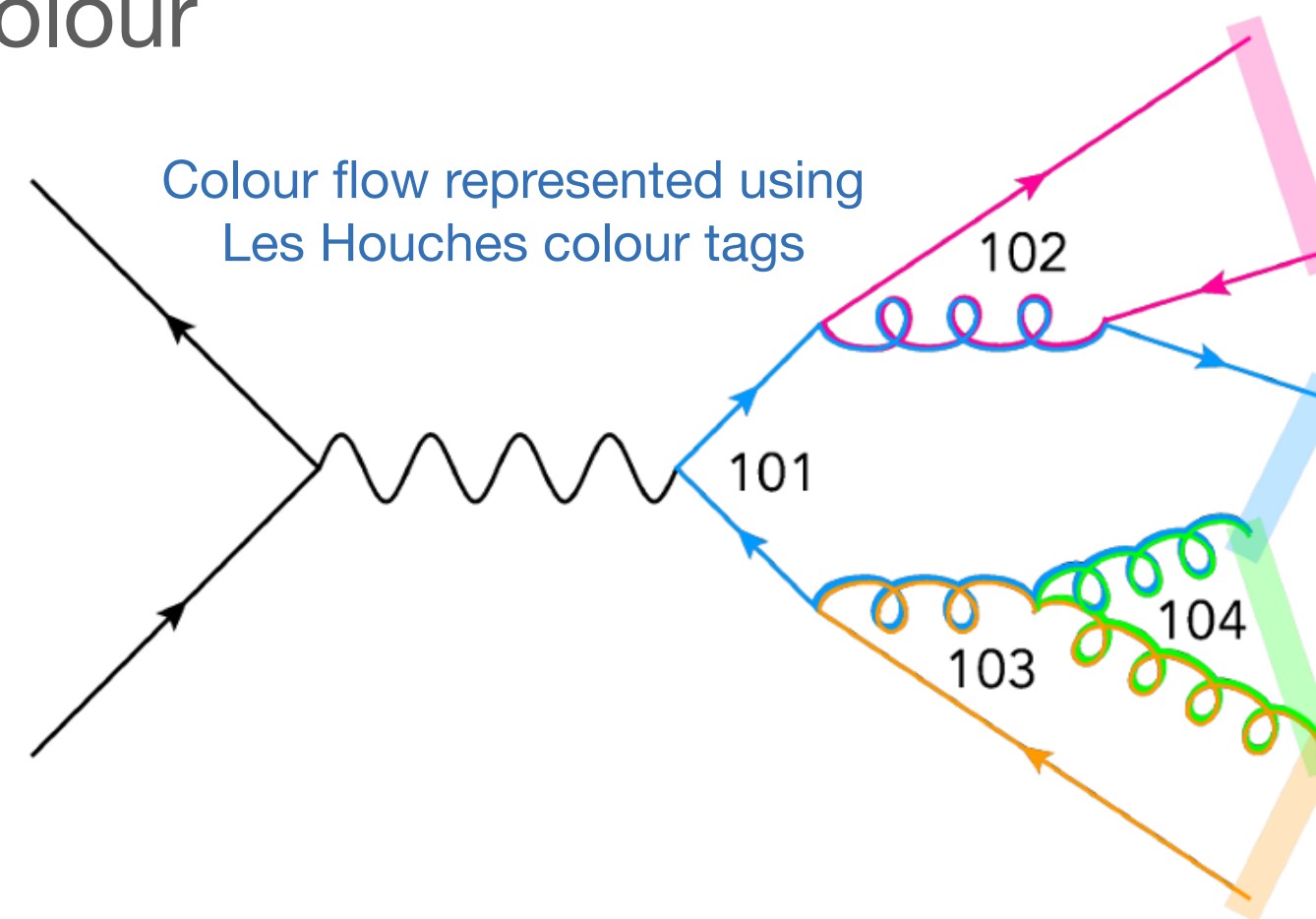
Expect corrections to  
be suppressed by  
 $1/N_C^2 \sim 10\%$

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Colour is represented by the **SU(3) group**, thus finite number of colours!  
What happens if we introduce this colour-space ambiguity?

**What about  $pp$  collisions?**

$pp$  collisions have dense  
environments  
→ subleading colour ambiguities  
become more significant

# QCD-based Colour Reconnections

## Restore missing colour correlations stochastically

Approximate LC-unconnected partons as uncorrelated and use SU(3) rules

- Assign partons “**colour indices**” from 0 to 8 to reproduce probabilities given by these SU(3) rules
- Choose the “**lowest-energy**” configuration

### Dipole CR

$$3 \otimes \bar{3} = 8 \oplus 1 \text{ for uncorrelated colour-anticolour pairs}$$

### Junction CR

$$3 \otimes 3 = 6 \oplus \bar{3} \text{ for uncorrelated colour-colour pairs}$$

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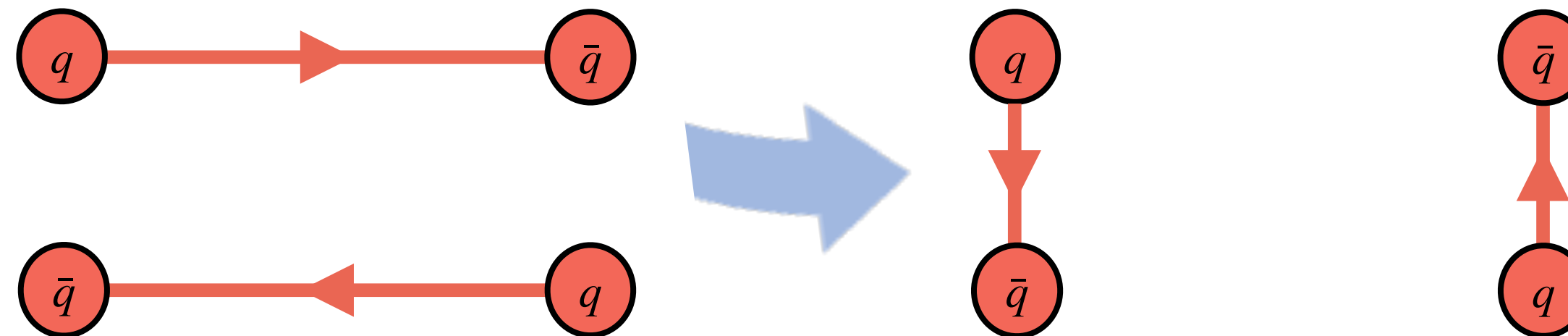
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(giving 1/9 probability from equation above)



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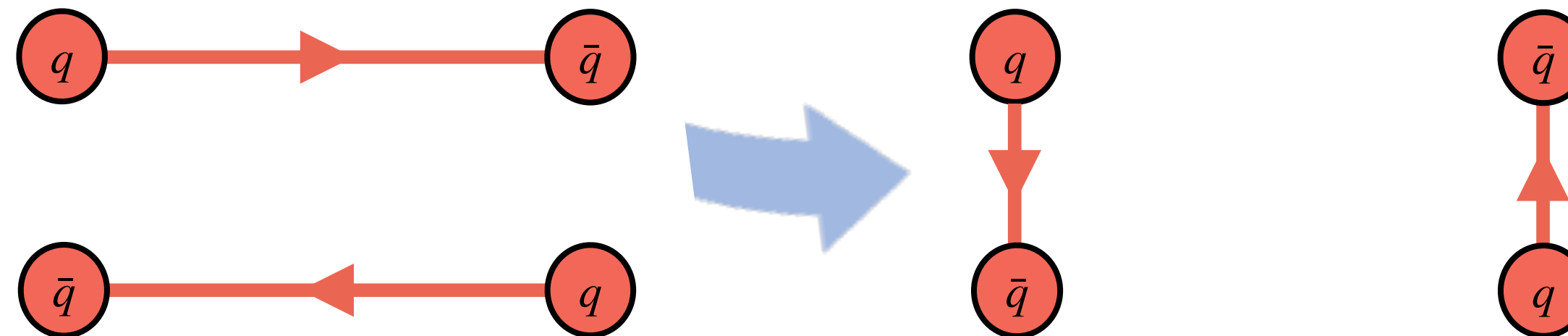
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### Junction CR

$3 \otimes 3 = 6 \oplus \bar{3}$  for uncorrelated colour-colour pairs

- Confined with indices **3** and **6** to form colour-neutral combination of **R**, **G**, and **B**  
(giving approx. 3/9 probably in equation above) represented by “**string junctions**” → **baryons!**

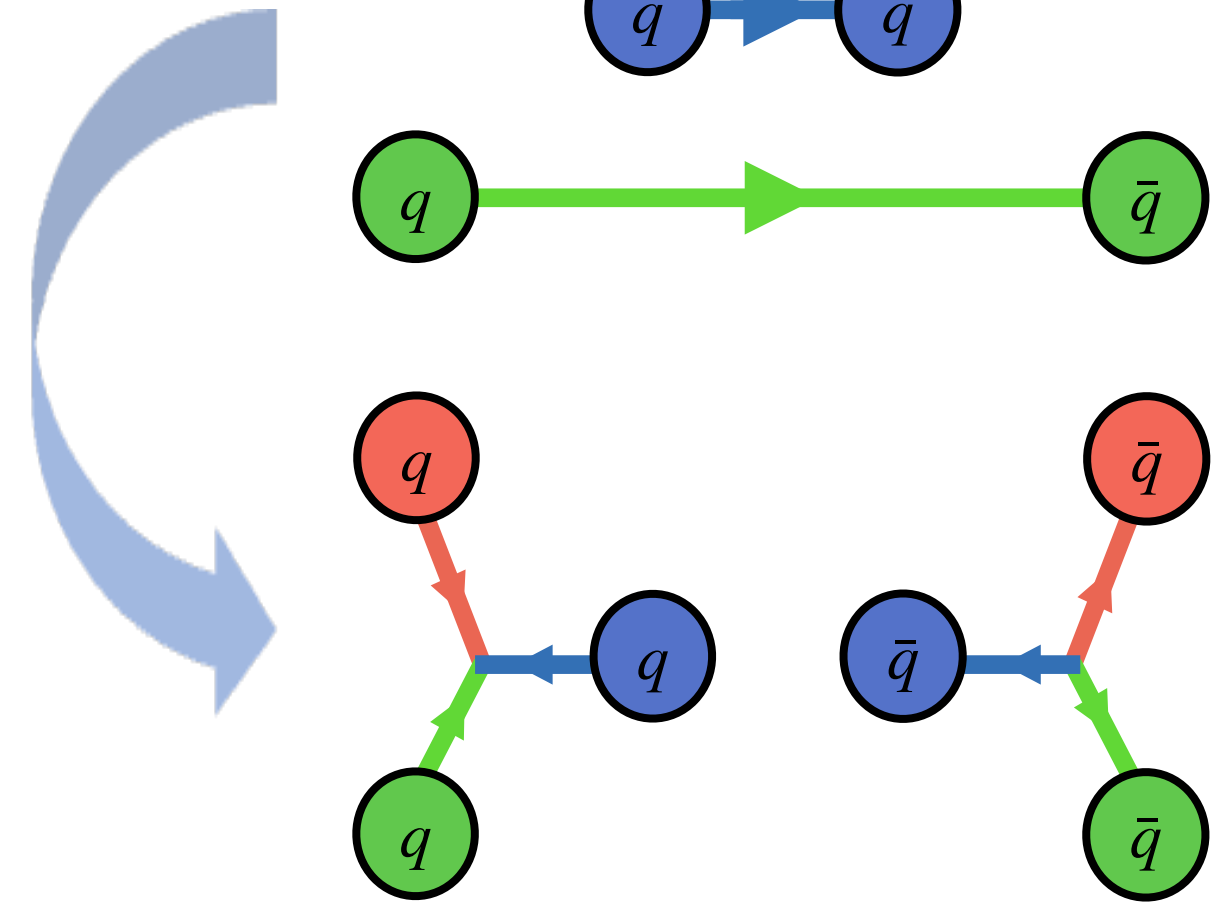
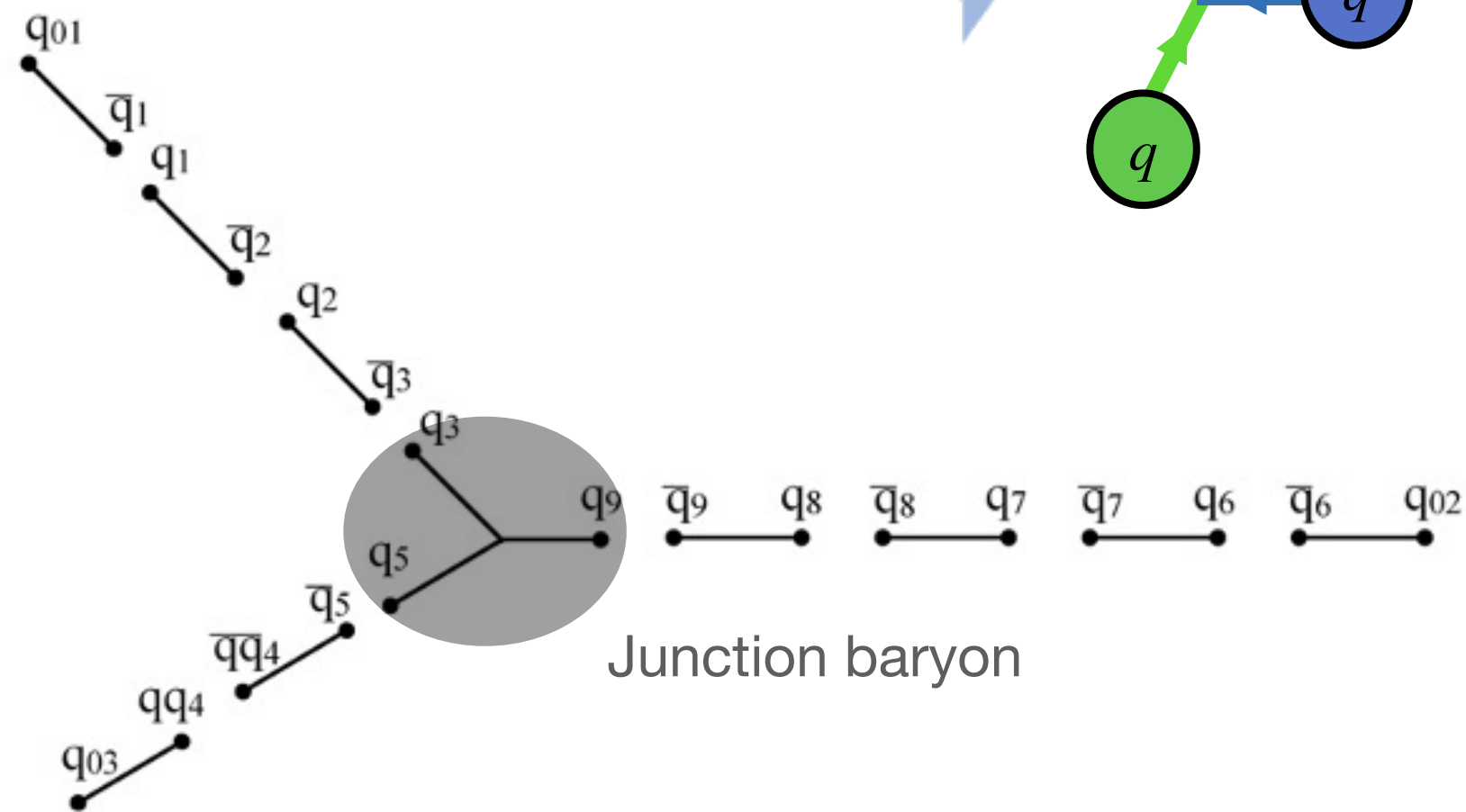
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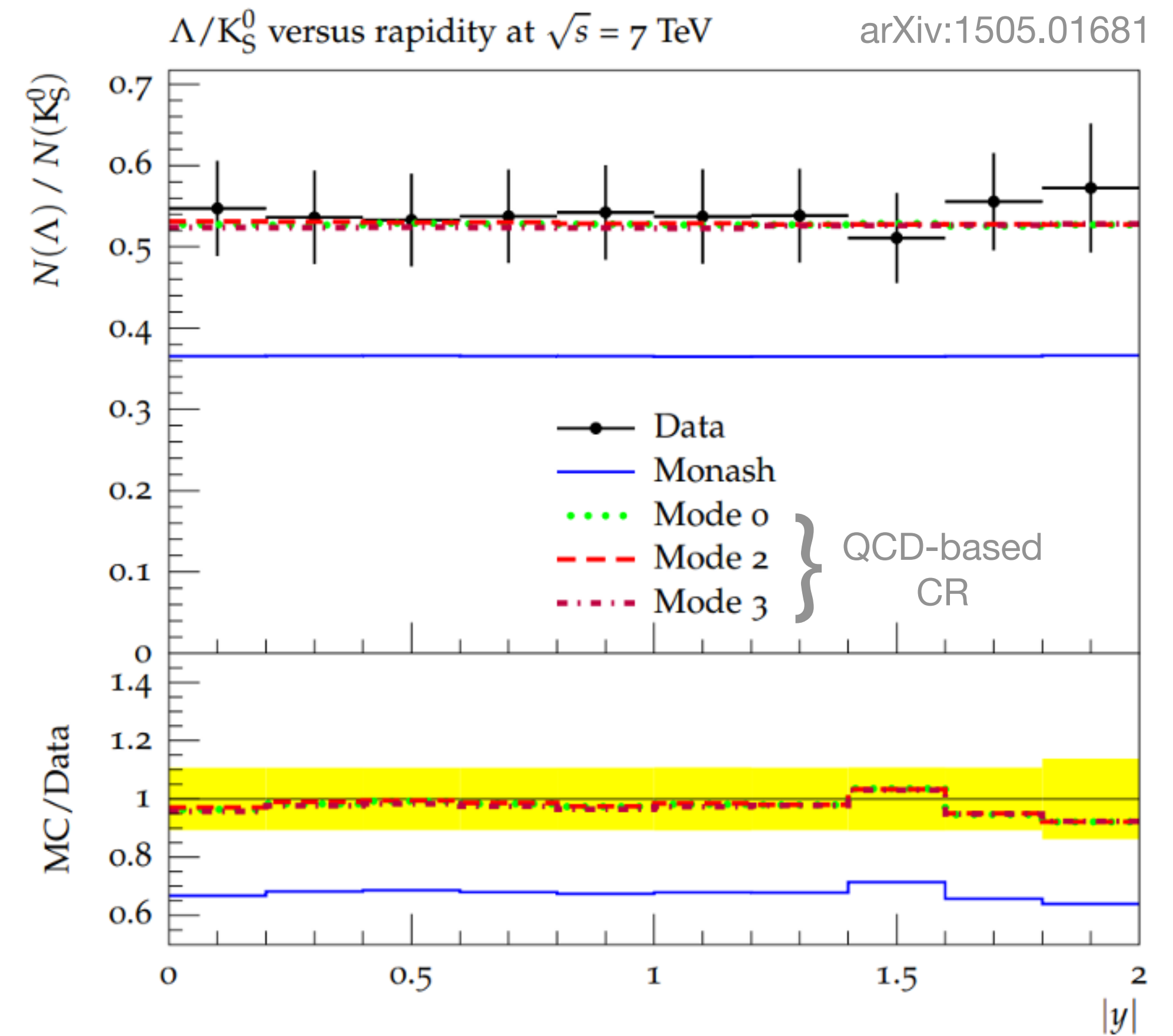
# Junction Topologies

## Junction CR

Choose "shortest" string configuration



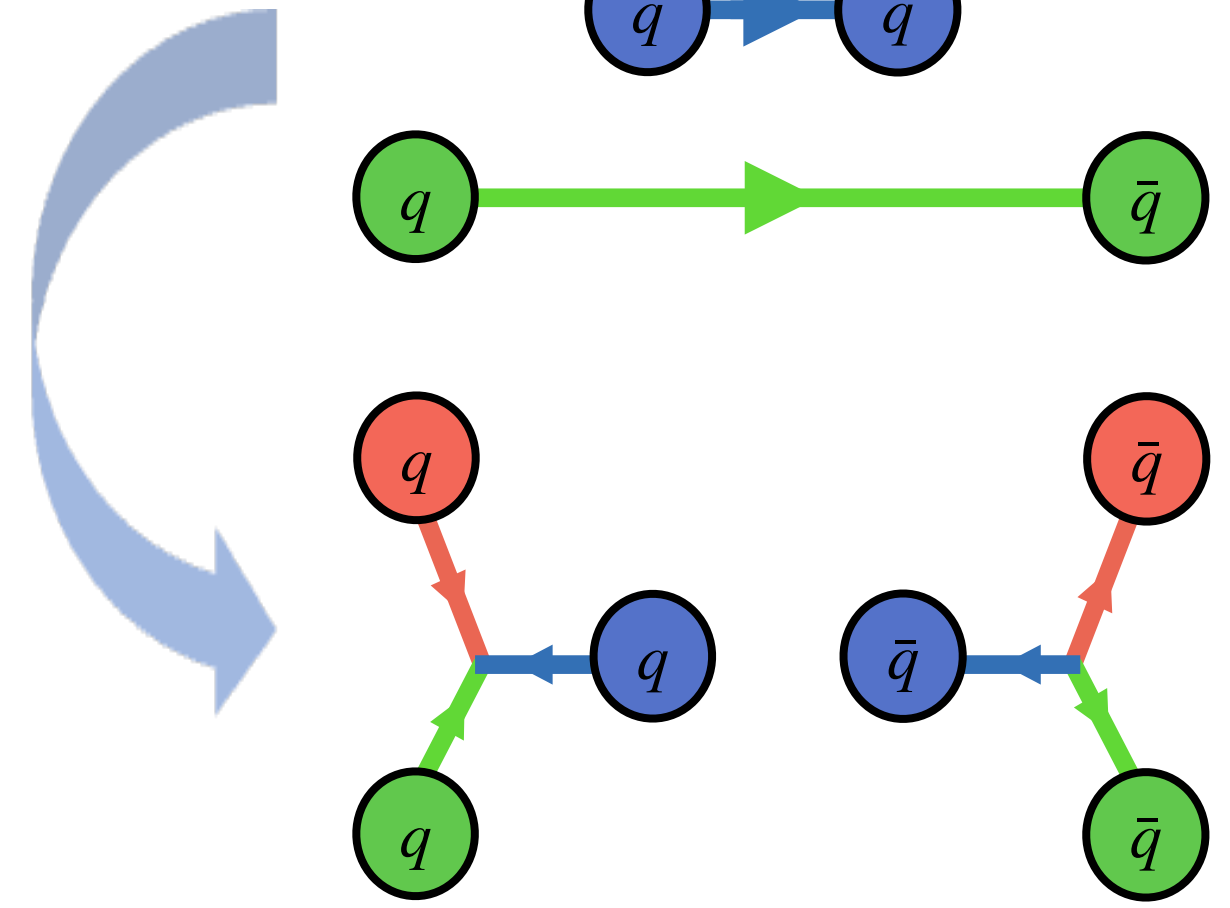
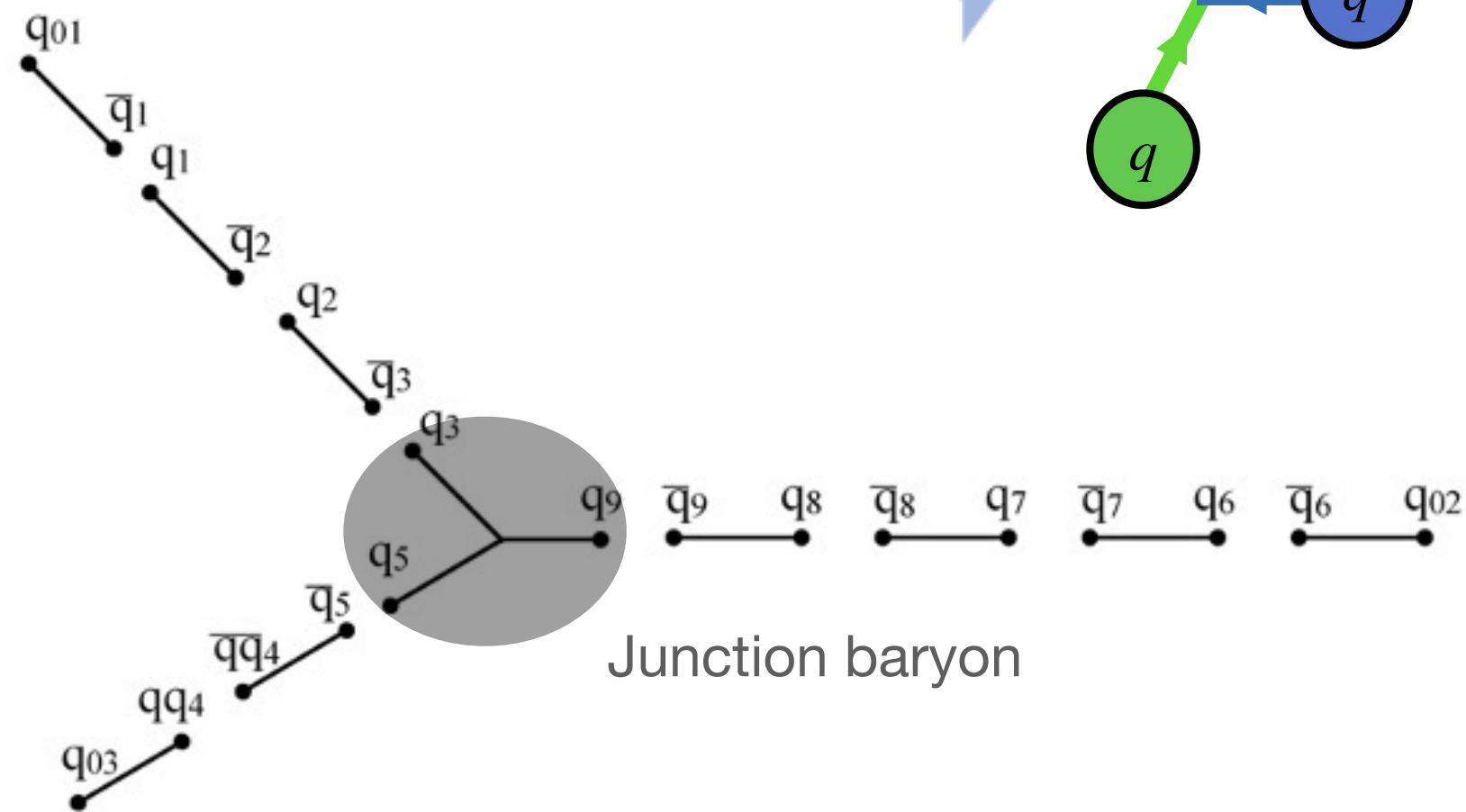
Increased ratio of baryons to mesons



# Junction Topologies

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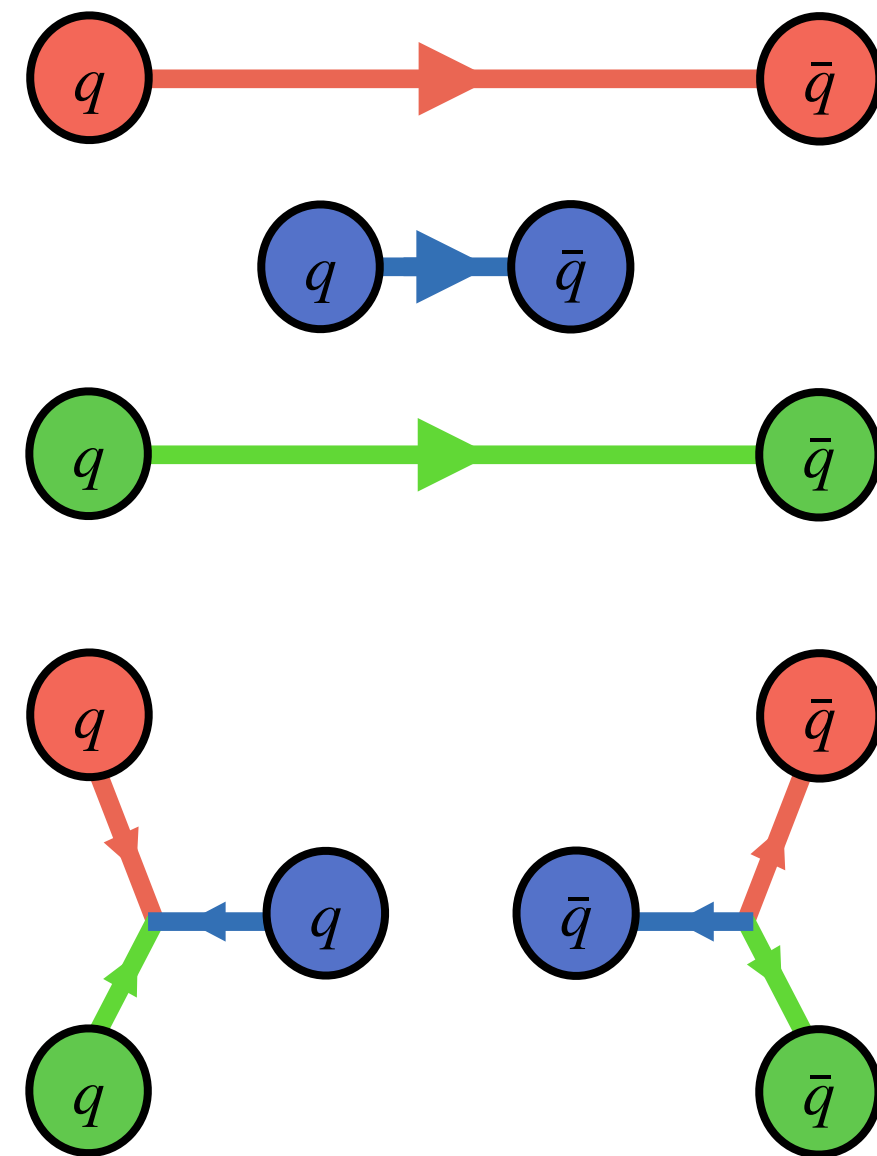
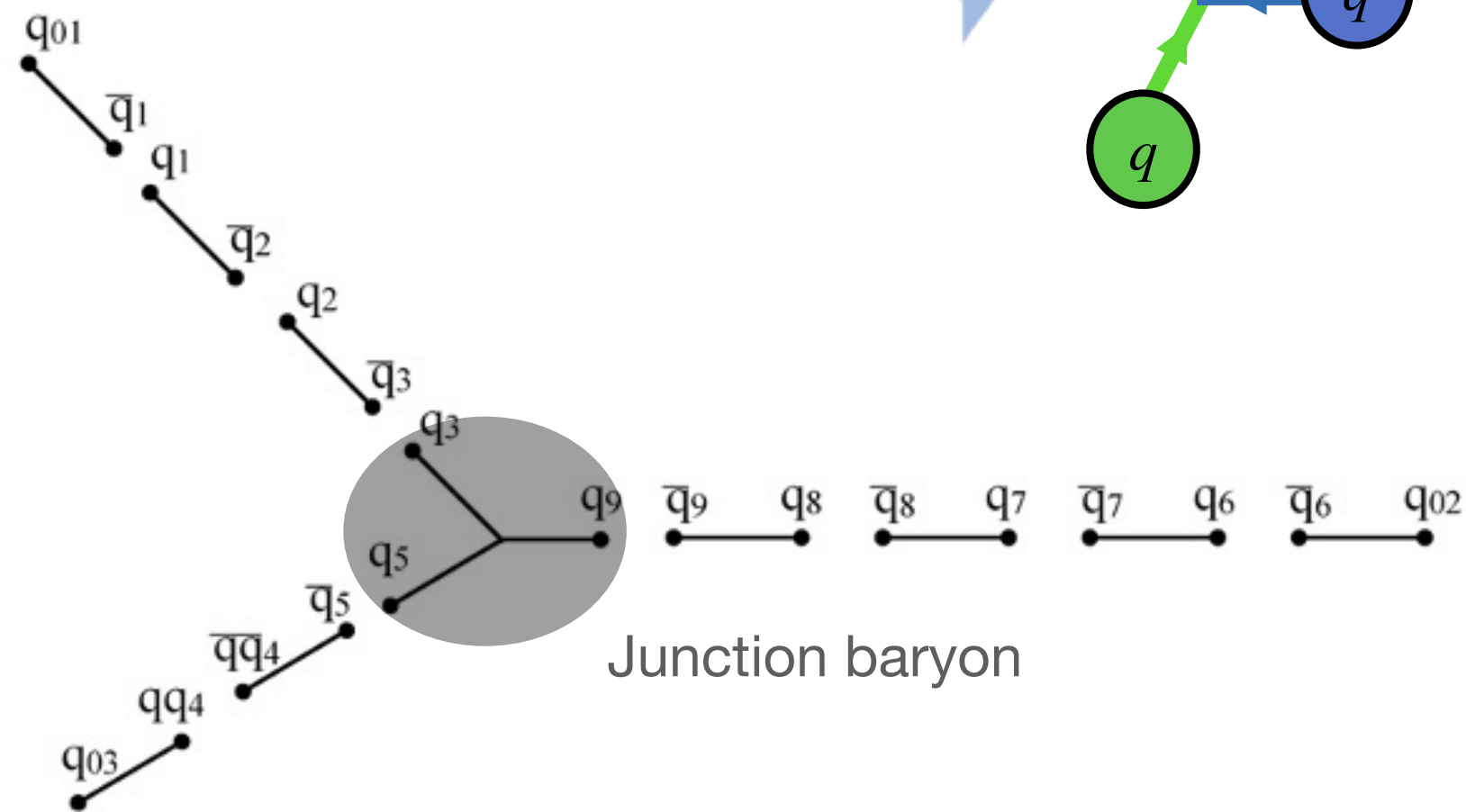
**Junctions come with anti-junctions**  
 → Increase total baryonic final states  
 $(\sum |B|)$  but doesn't violate total baryon number  $(\sum B)$  conservation.



# Junction Topologies

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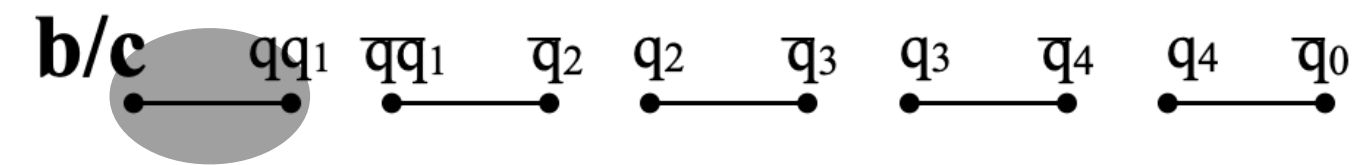


Junctions come with anti-junctions  
 → Increase total baryonic final states  
 ( $\sum |B|$ ) but doesn't violate total baryon number ( $\sum B$ ) conservation.

Junctions will predominantly sit  
 at low  $p_{\perp}$   
 → increase production of **low  $p_{\perp}$  baryons**

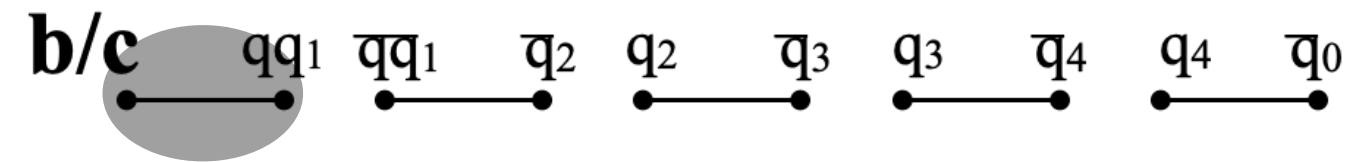
# Heavy Flavour Baryons

Diquark creation  
(does not require  
junction topology)

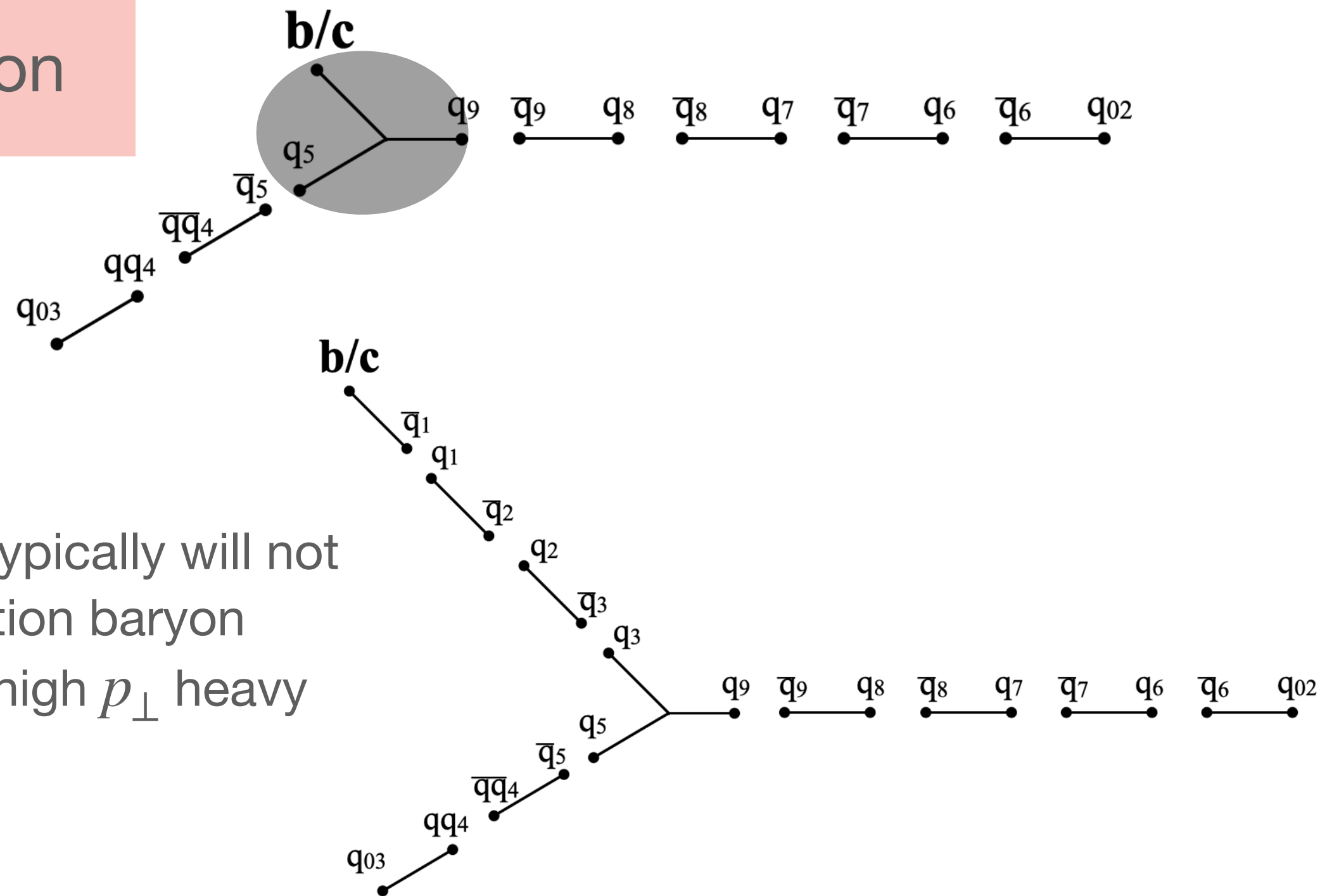


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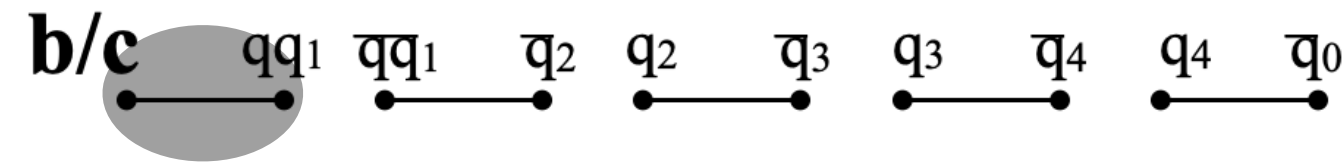
Junction baryon



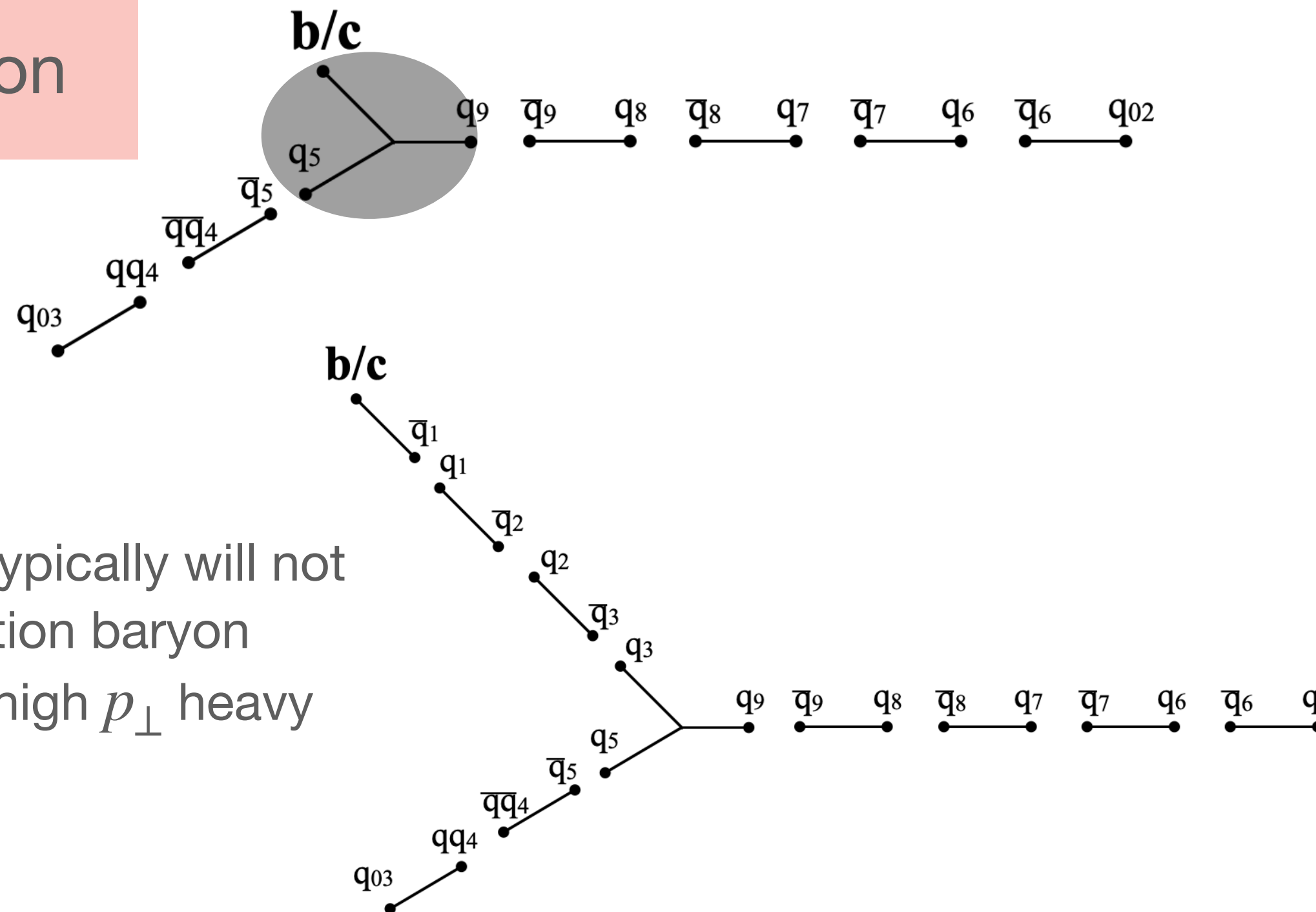
High  $p_{\perp}$   $b/c$  quark typically will not  
contribute to a junction baryon  
→ less likely to get high  $p_{\perp}$  heavy  
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**Junction baryon**

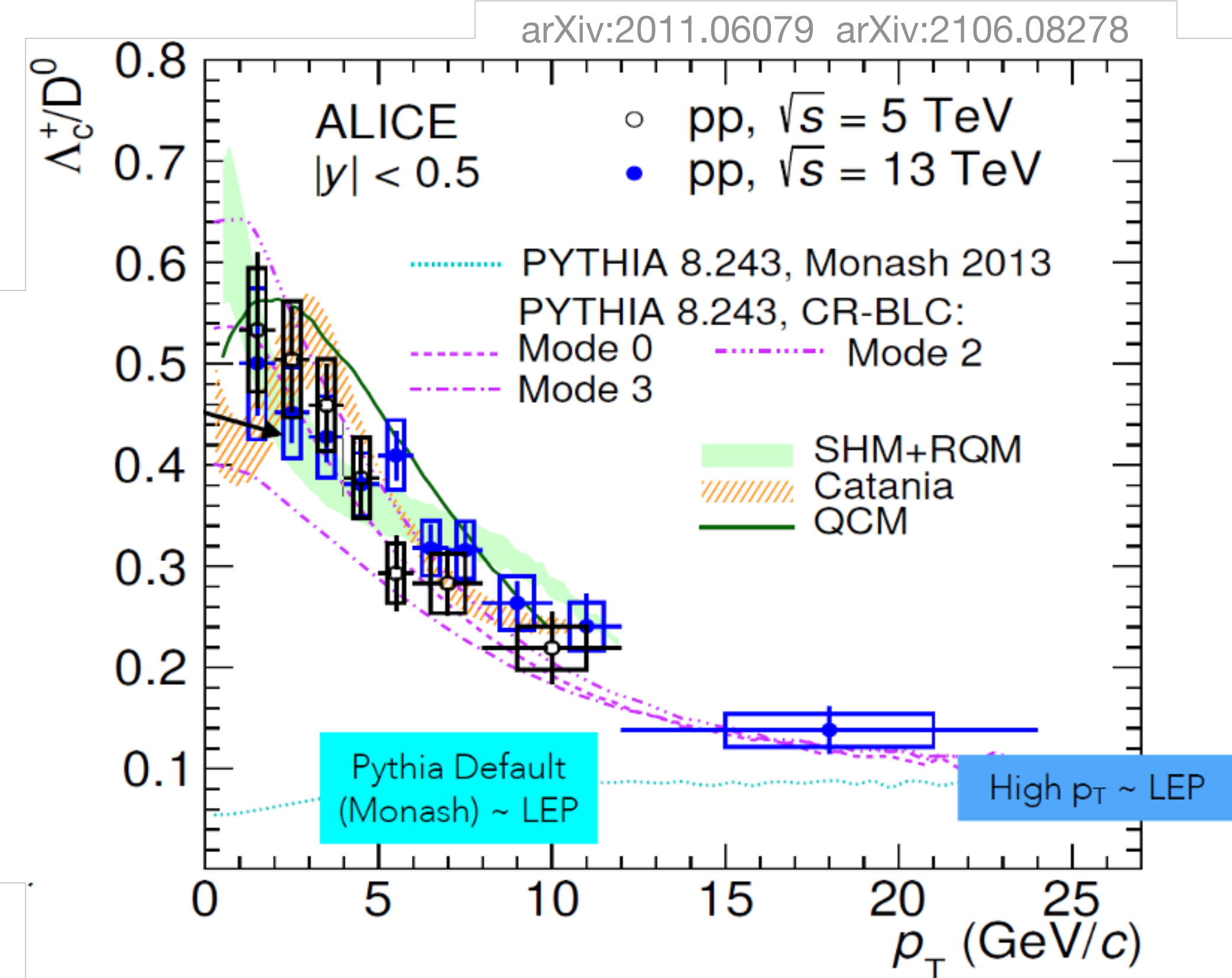


High  $p_{\perp}$   $b/c$  quark typically will not contribute to a junction baryon  
→ less likely to get high  $p_{\perp}$  heavy flavour baryons

**Charm**  
ALICE 2021:

**QCD CR model:**

Inclusion of junctions leads to order-of-magnitude increase in  $\Lambda_c/D^0$  at low  $p_{\perp}$





# Heavy Flavour Baryons

## $\Lambda_b$ asymmetry

$$A = \frac{\sigma(\Lambda_b^0) - \sigma(\bar{\Lambda}_b^0)}{\sigma(\Lambda_b^0) + \sigma(\bar{\Lambda}_b^0)}$$

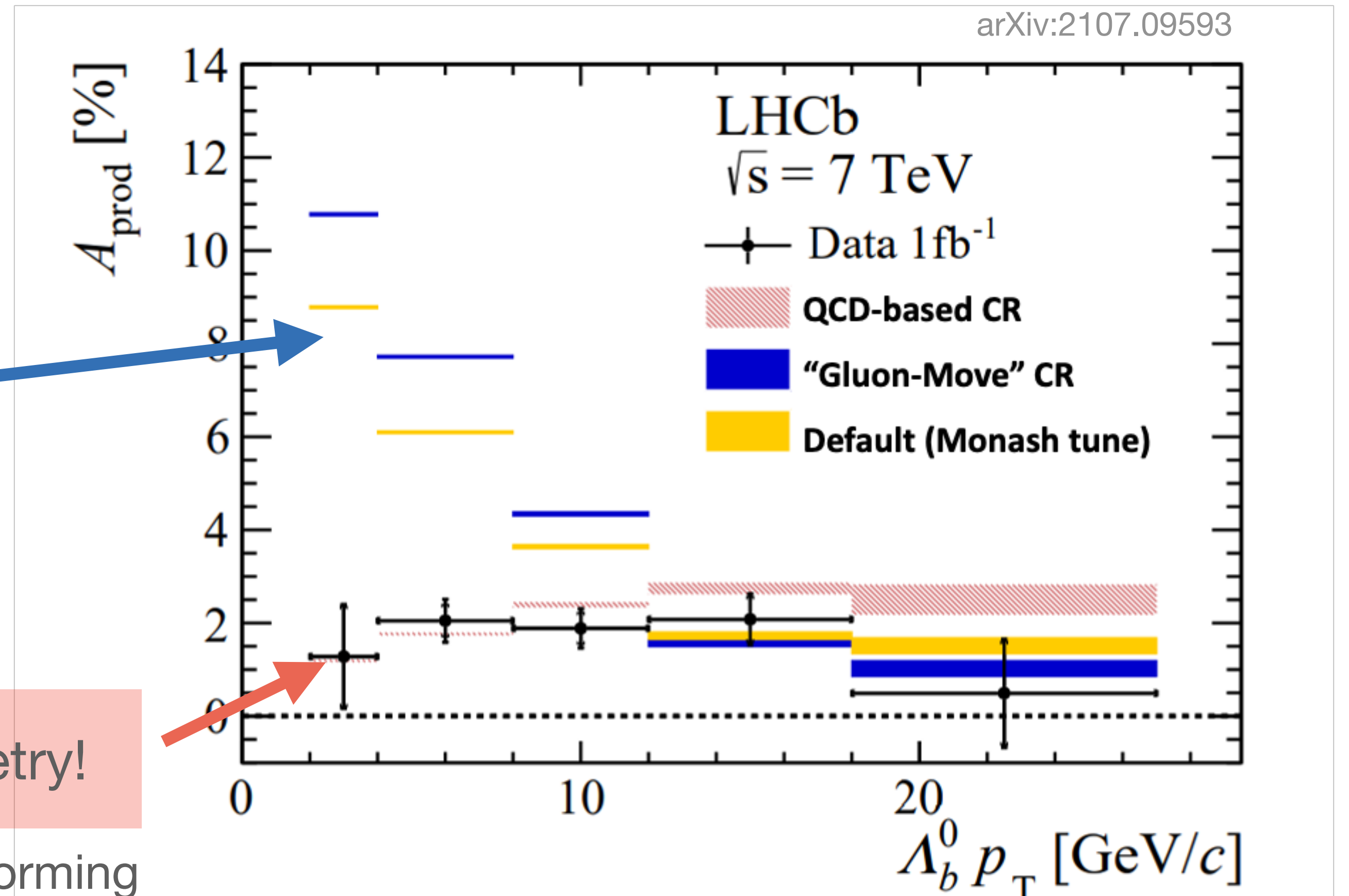
### Without junction CR

Key source of low  $p_\perp$   $\Lambda_b$  production is the combination of a **b quark** with the **proton beam remnant**

Same does not apply for  $\bar{\Lambda}_b$  as there is **no  $\bar{p}$  remnant** at the LHC.

Dilutes asymmetry!

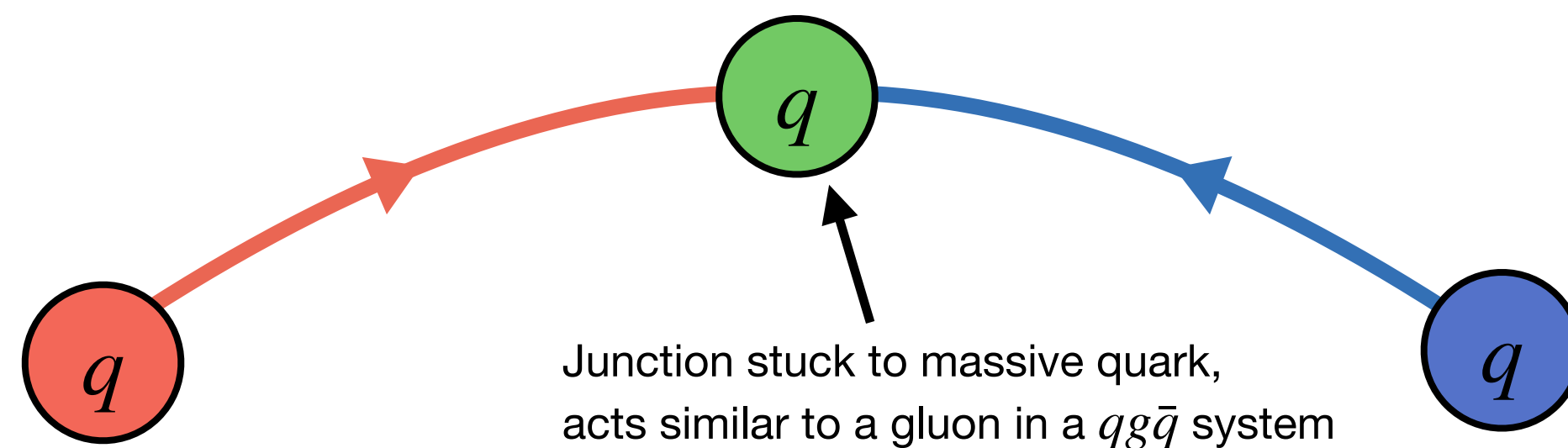
QCD CR adds large amounts of low- $p_\perp$  junctions, forming more  $\Lambda_b$  and  $\bar{\Lambda}_b$  baryons in equal amounts



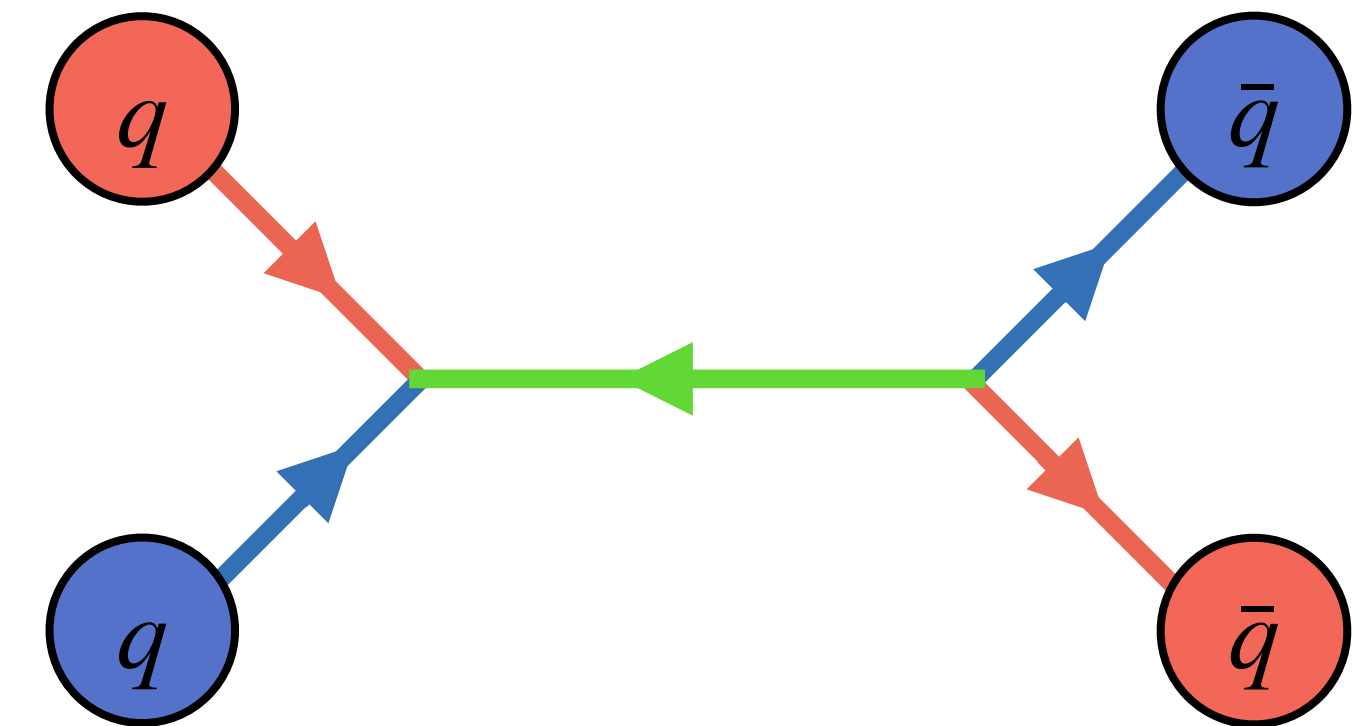
# Complicated Junction Topologies

Lots more we can explore!

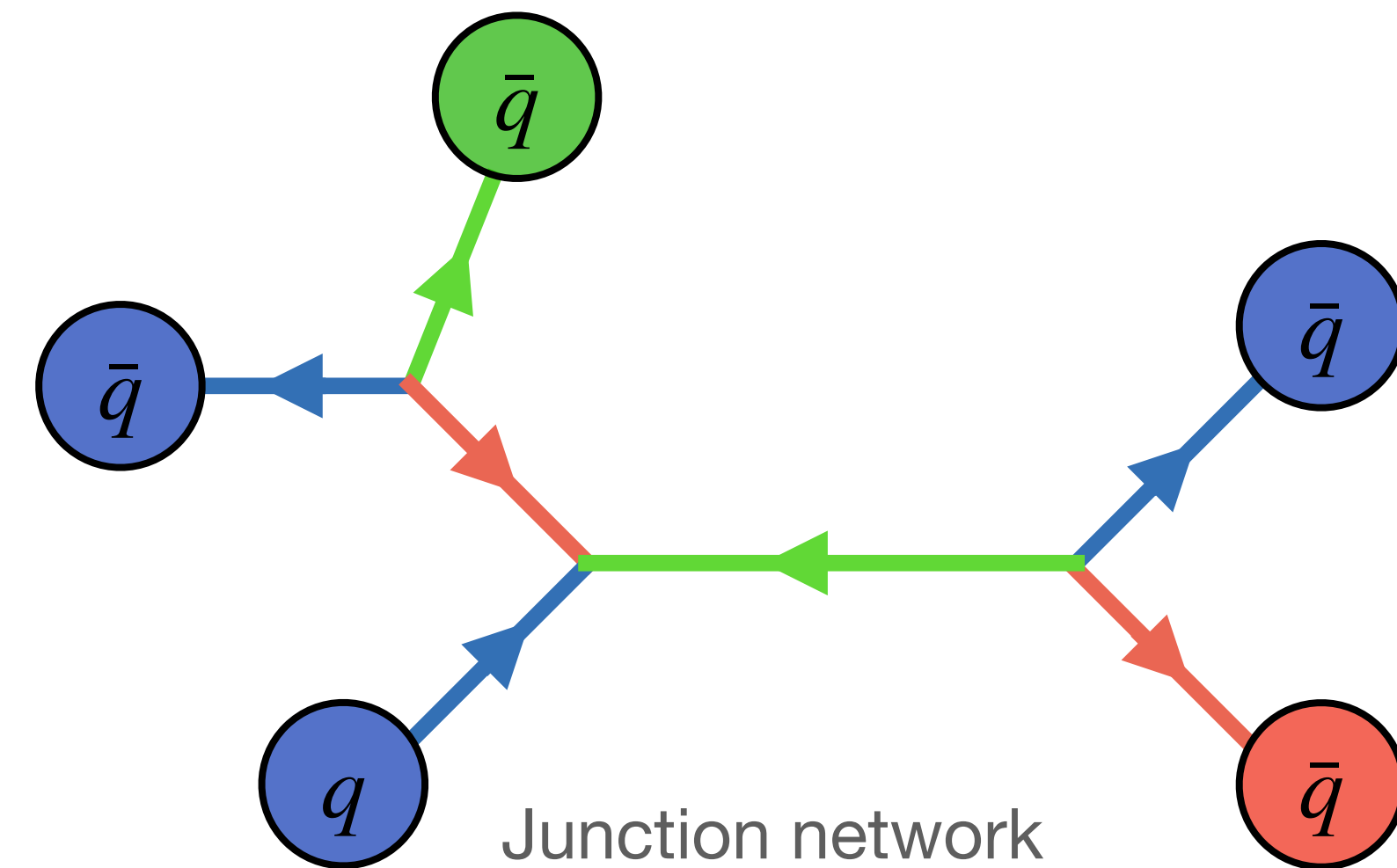
- PYTHIA currently returns many convergence errors when dealing with junction topologies, particularly with heavy quarks
- How do “gluon kinks” move through junctions?
- How do heavy quarks effect junction motion?
- Could “junction networks” be possible representations for structures such as tetraquarks, pentaquarks, *etc.*



Important for heavy-quark junctions topologies !!!



Junction-antijunction system  
Possible tetraquark?

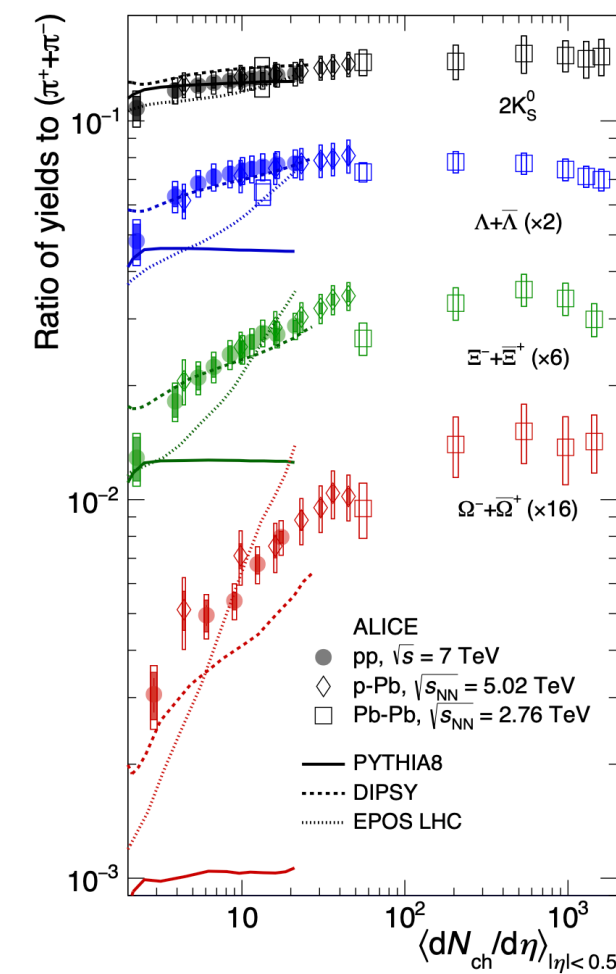
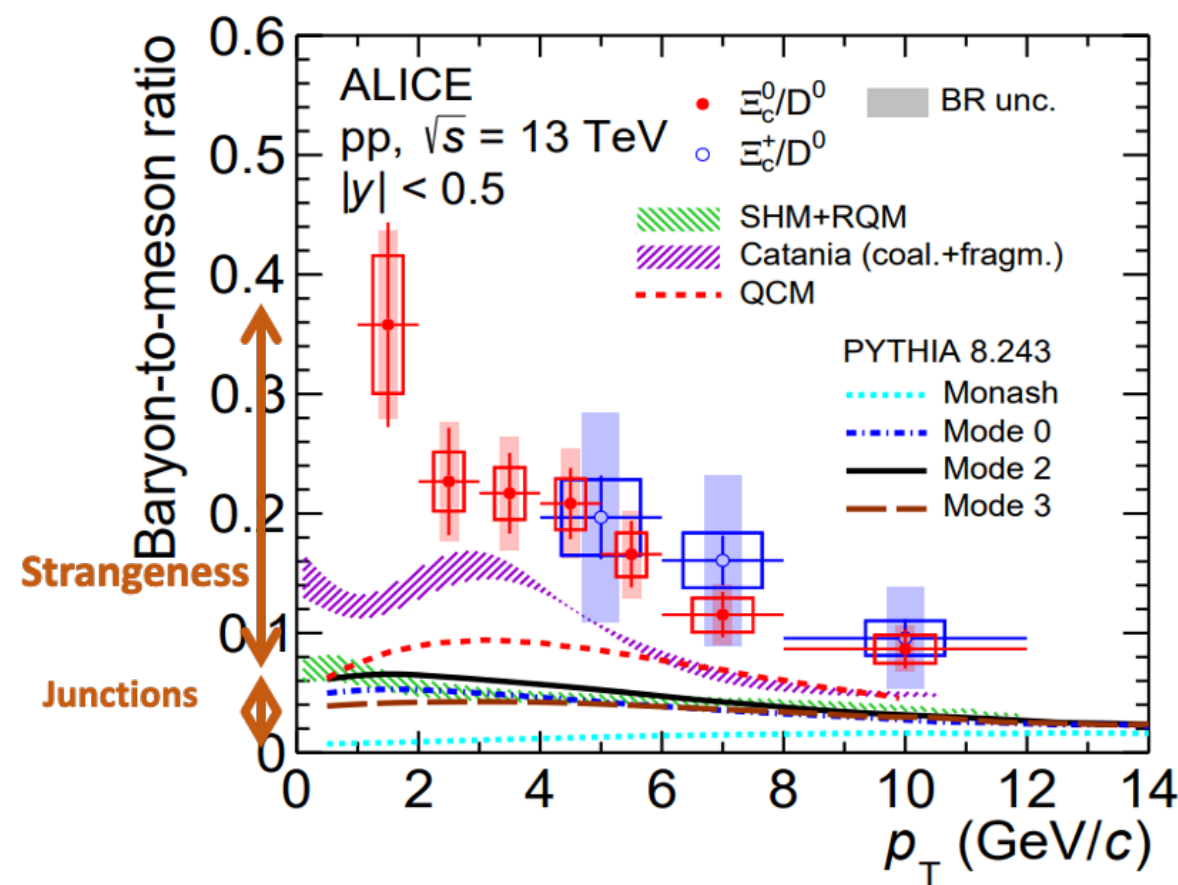


Junction network  
Possible pentaquark?

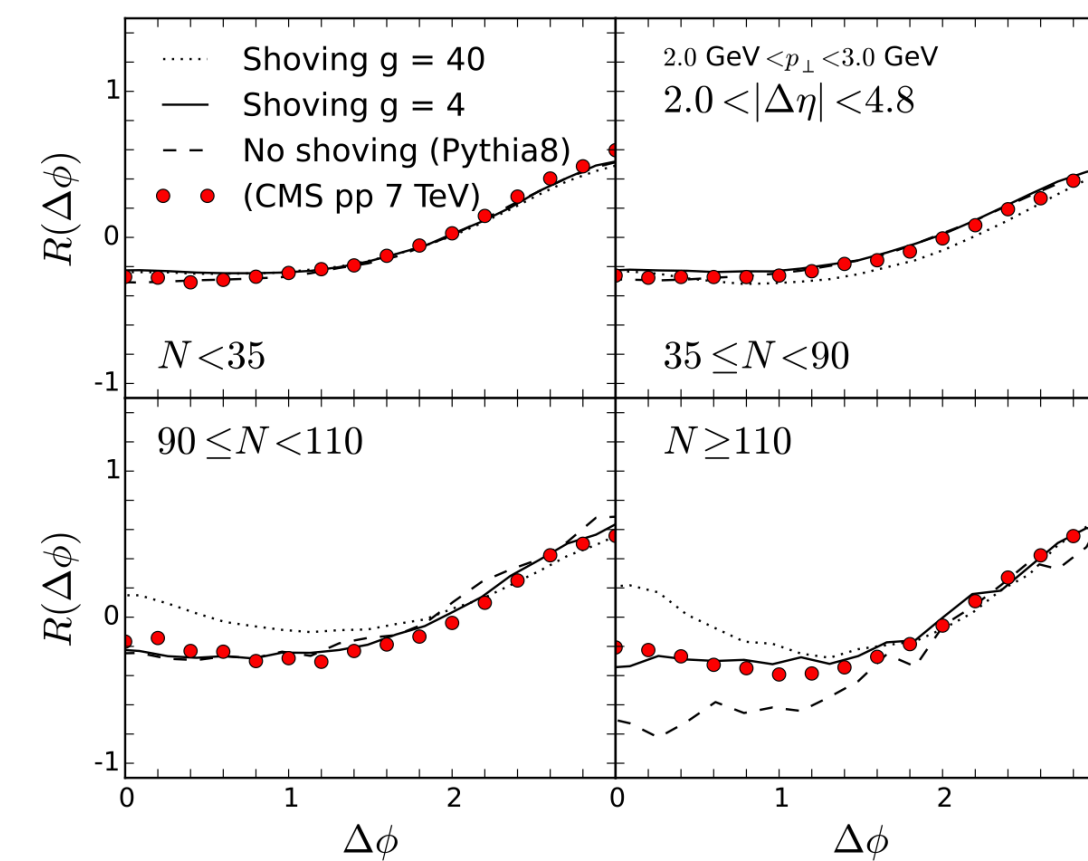
# Lingering Questions...

What does default PYTHIA + QCD-based CR not fully describe?

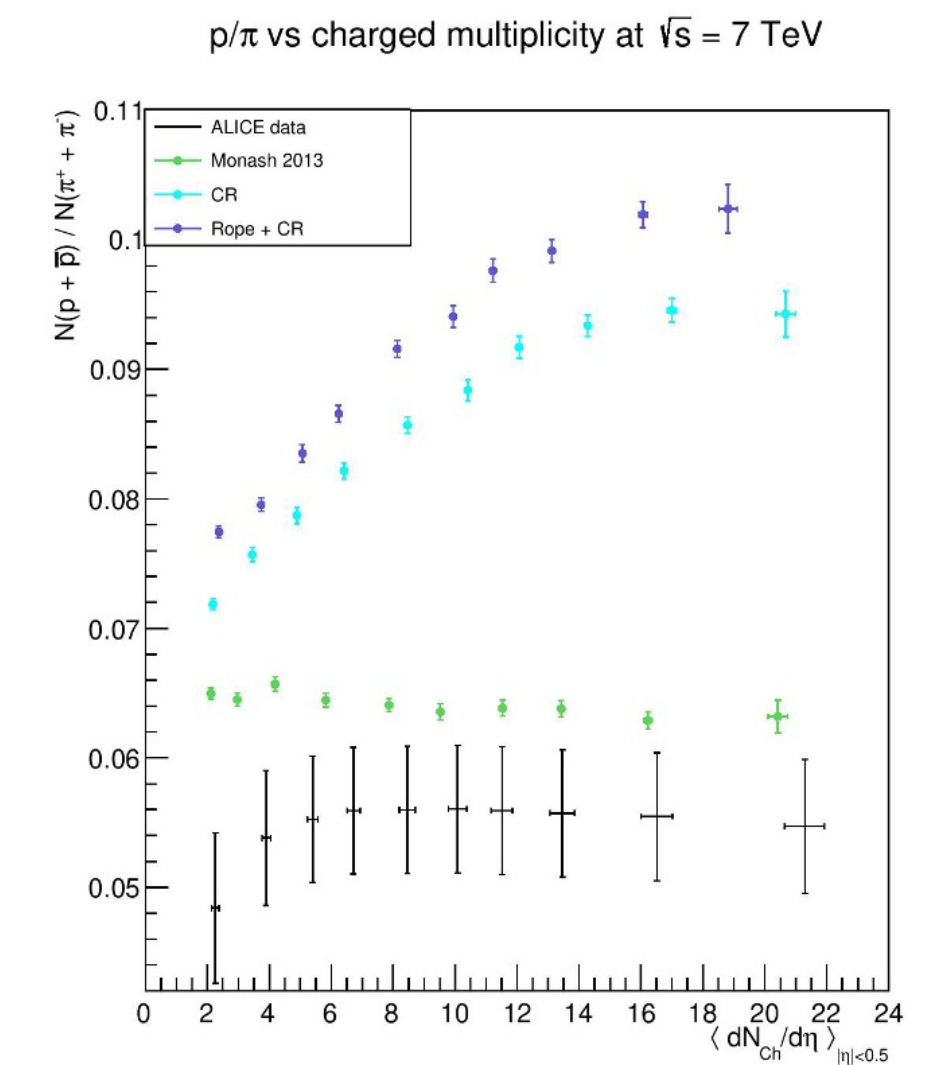
Strangeness Enhancement



Near-sided Ridge



Proton-to-pion ratio



Strings or QGP?

How can we expand the Lund String Model to its furthest consequence?

Or can we make a smooth limit between strings and QGP?



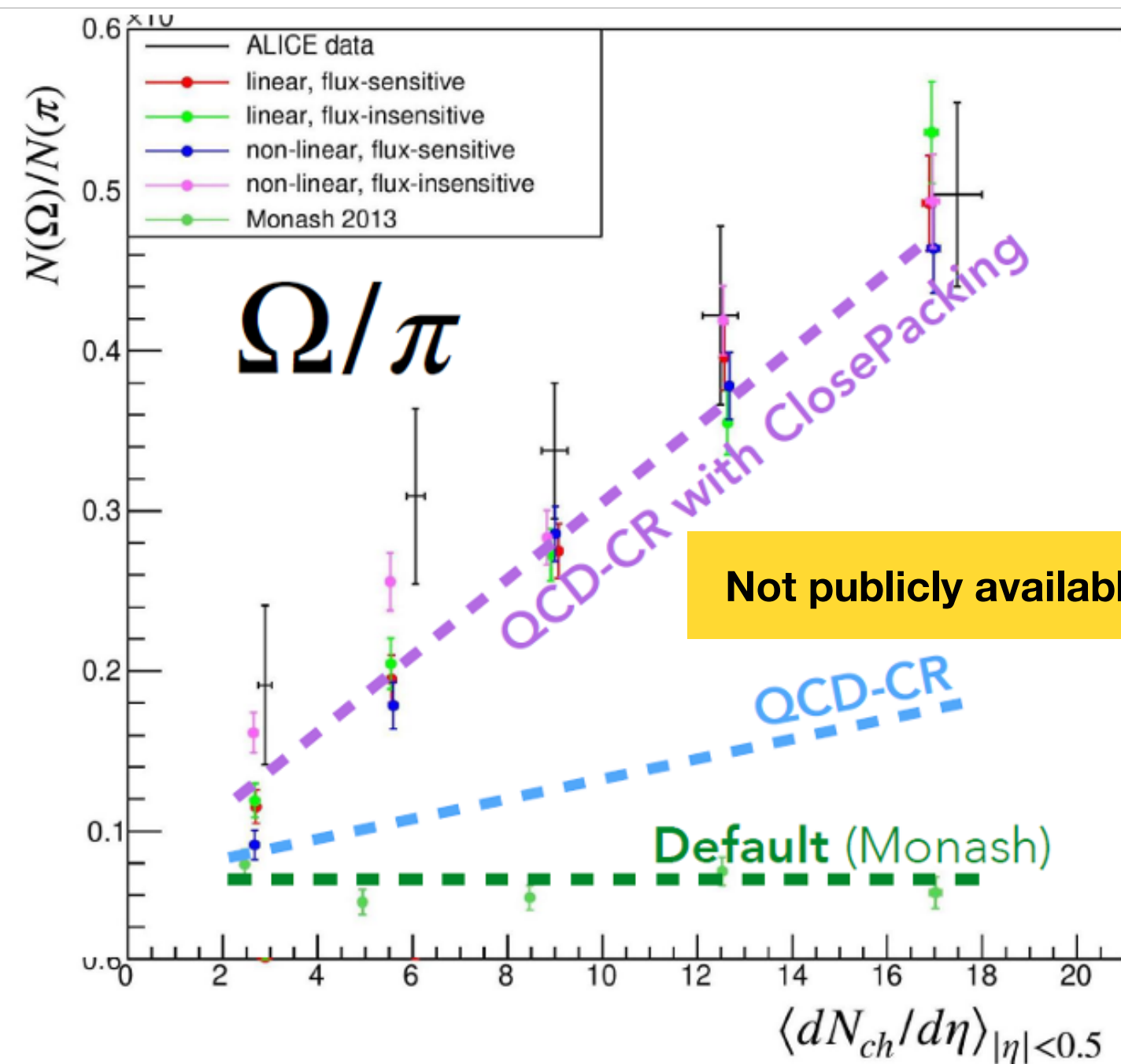
# Strangeness Enhancement

QCD-based CR is **NOT** a mechanism for **strangeness enhancement**.

Therefore look to **collective effects** *i.e.* QCD string interactions

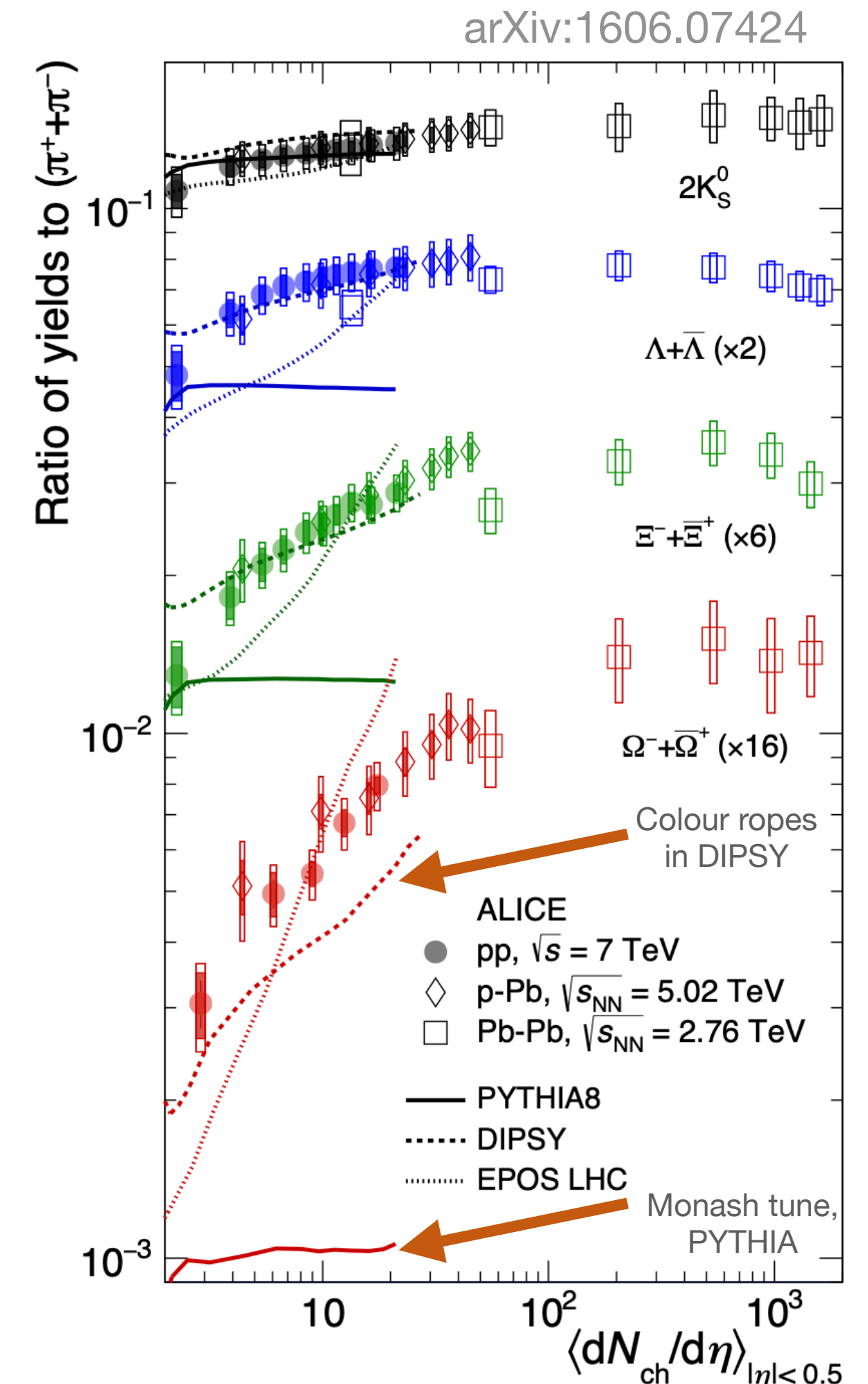
Increase string tension  $\rightarrow$  increase strangeness (and baryons)

Preliminary results from my honours thesis implementing close-packing model with standard Gaussian string breaks



➤ **Colour ropes:** already implemented in PYTHIA. Requires space-time evolution of strings.

➤ **Close packing model:** simpler mechanism than rope hadronisation, that more naturally compatible with junctions. Current implementation publicly available in PYTHIA only for “thermal” string-breaking model arXiv:1610.09818





# Ridge

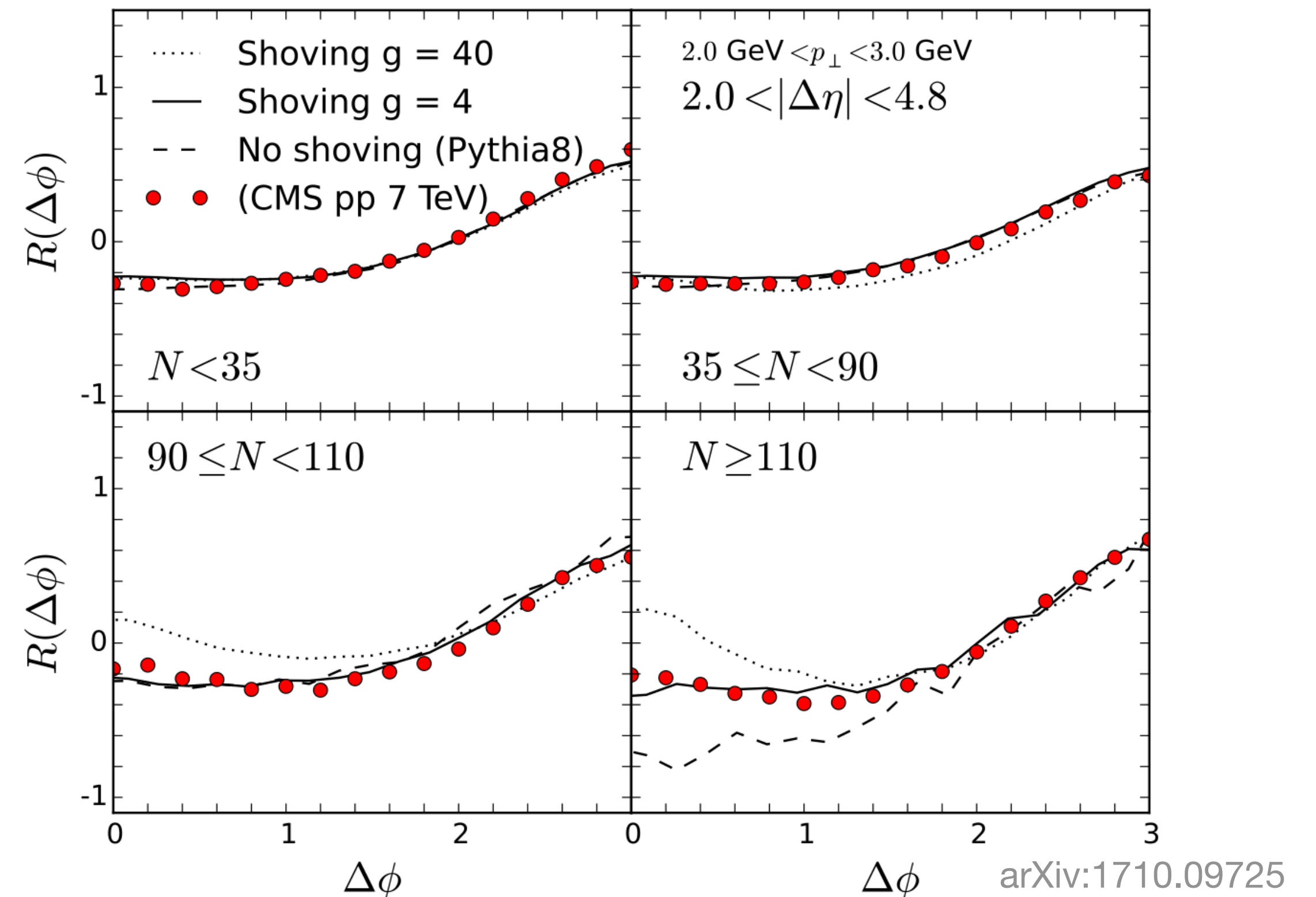
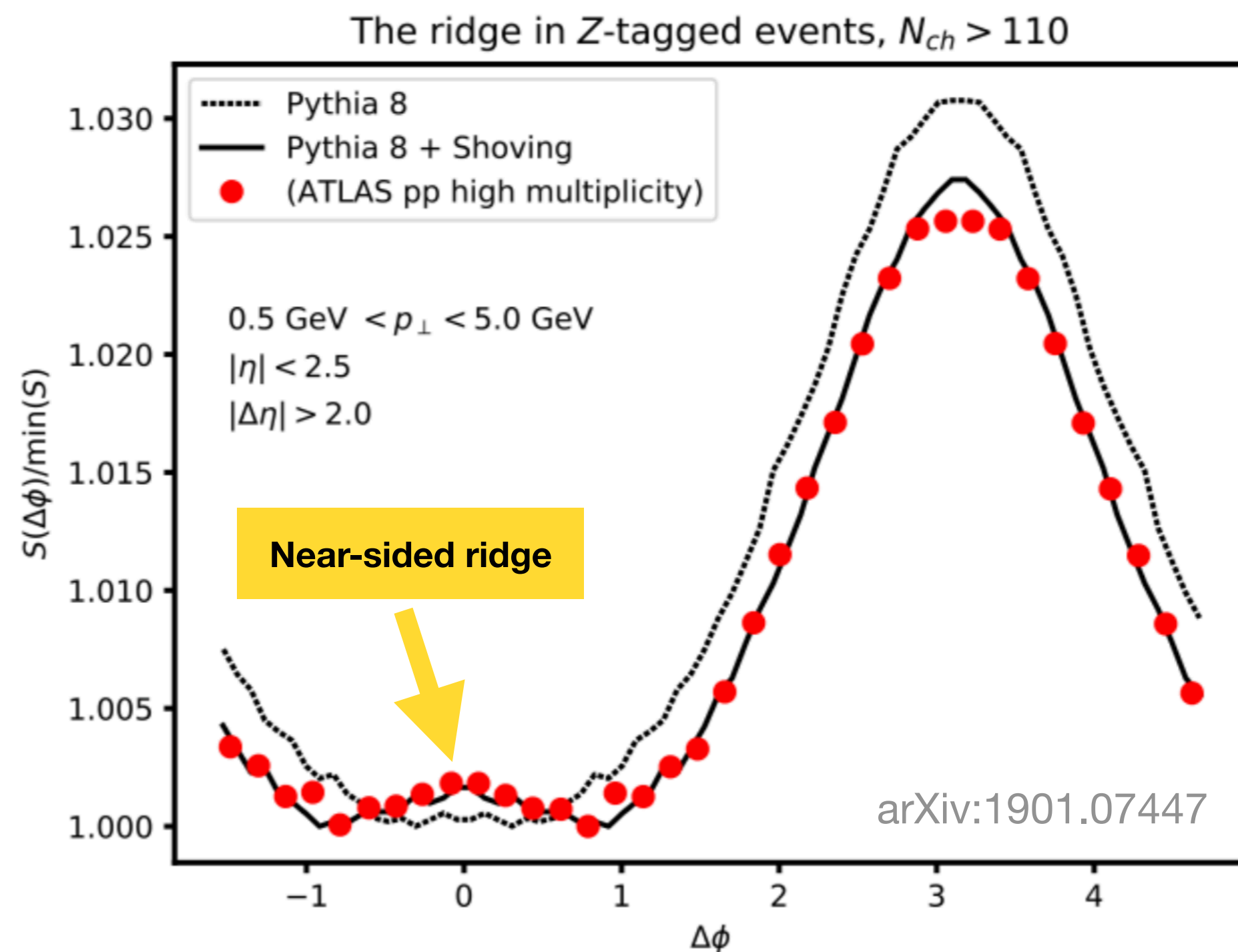
Another sign of collective effects is the **near-sided ridge** seen in high multiplicity events

Need to introduce some **azimuthal angular dependence**

➤ Consider repulsive string-string effects, similar to a Lorentz force on wires → **String shoving**

Attractive effects assumed to be mostly taken care of by CR. Based on explicit space-time evolution of strings

Governed by strength parameter  $g$



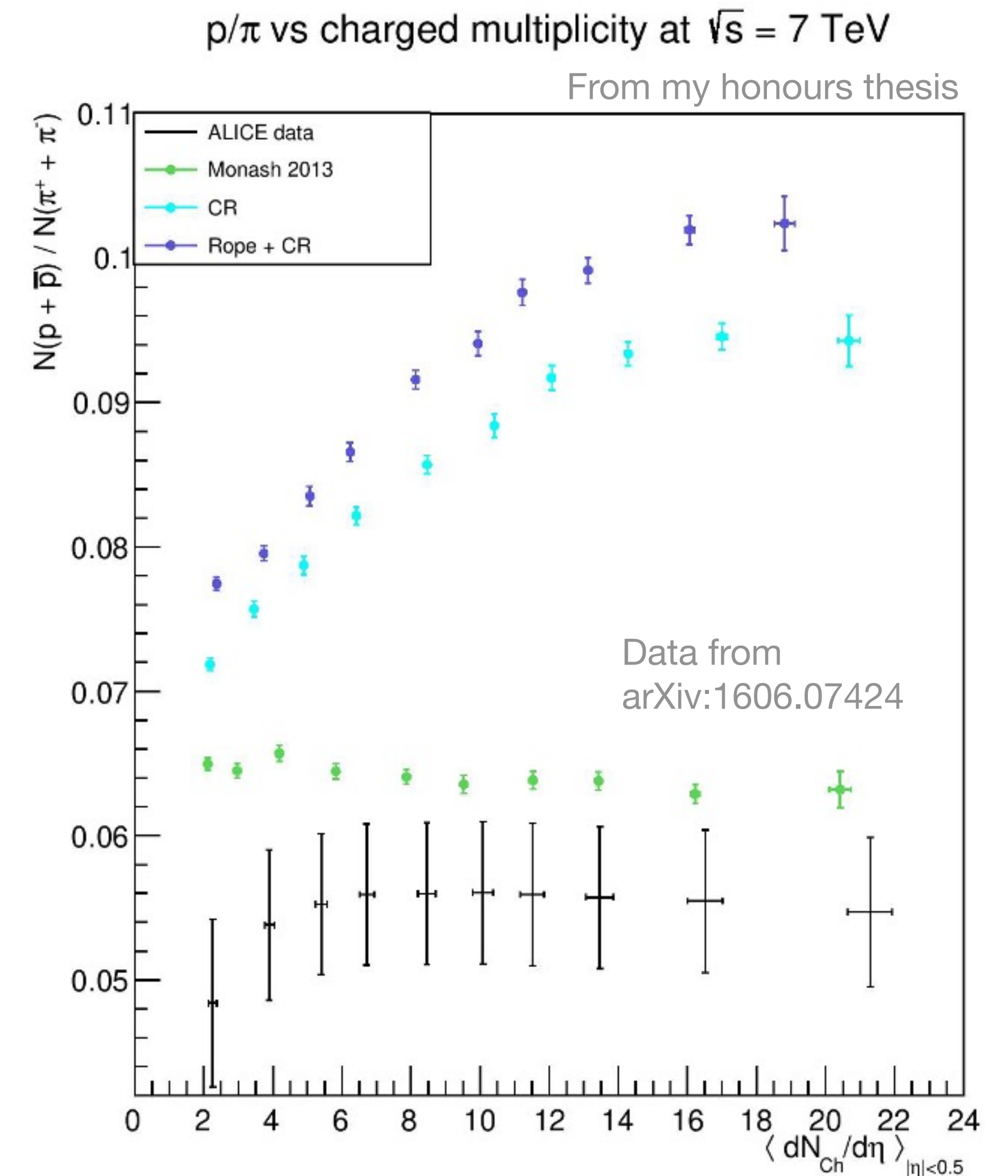
# Proton-to-Pion Ratio

Default tune is tuned to the LEP data and describes the  $p/\pi$  ratio well, however **overpredicts** this ratio for  $pp$  collisions.

- QCD-based CR increases baryon production and describes low pT baryon production
  - increases baryon production overall
- Ropes and close-packing describes strangeness enhancement
  - increases both strangeness AND baryon production

**Therefore further over predict the  $p/\pi$  ratio !!!**

Need to introduce a new mechanism to deal with this:



# Proton-to-Pion Ratio

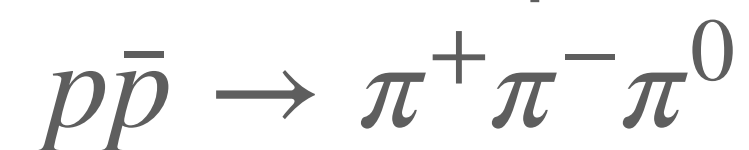
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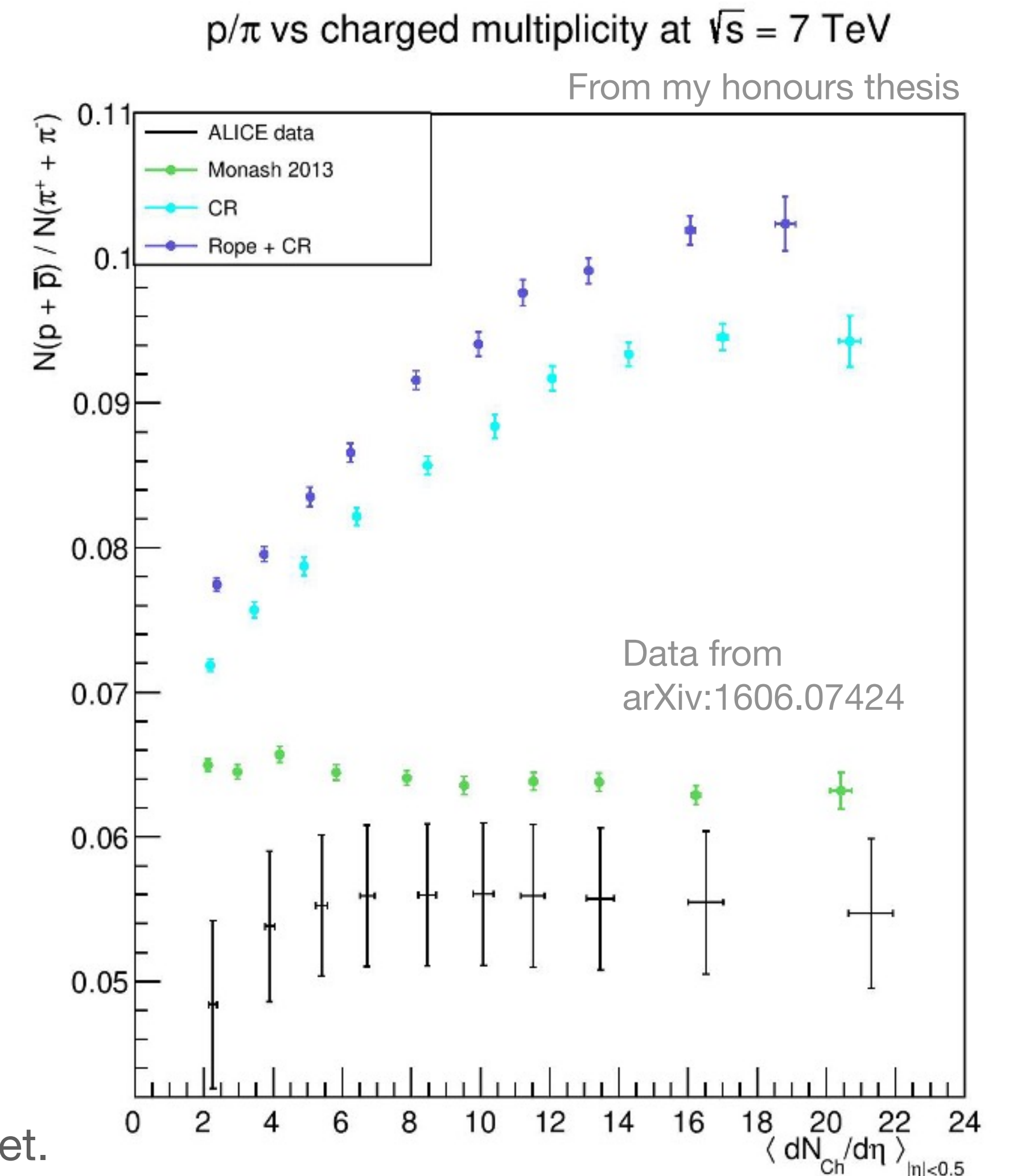
**Therefore further over predict the  $p/\pi$  ratio !!!**

Need to introduce a new mechanism to deal with this:

**Hadron rescattering** can decrease number of final state protons and antiprotons via annihilation



Do not have results of this data implementing both CR and hadron rescattering yet.





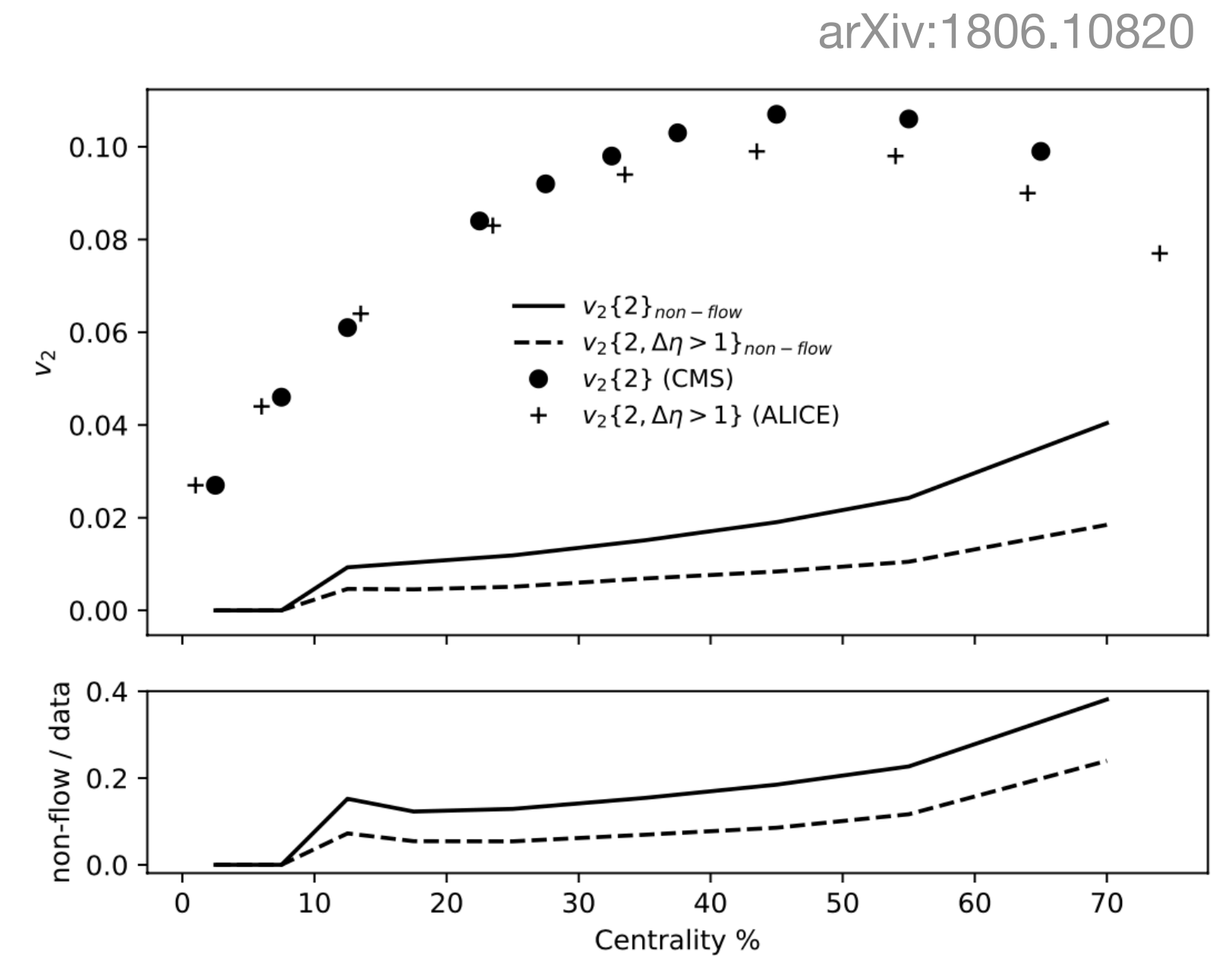
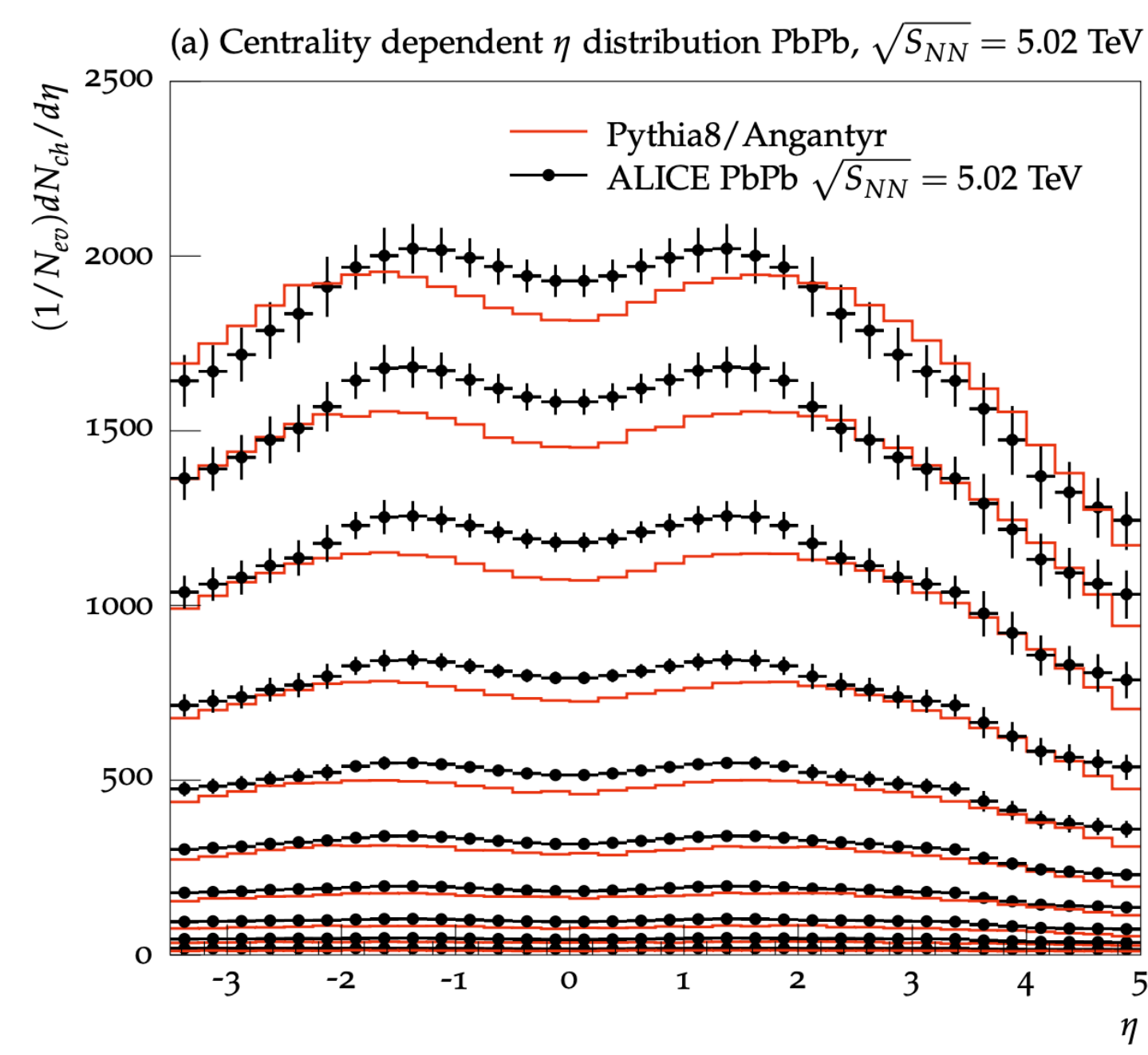
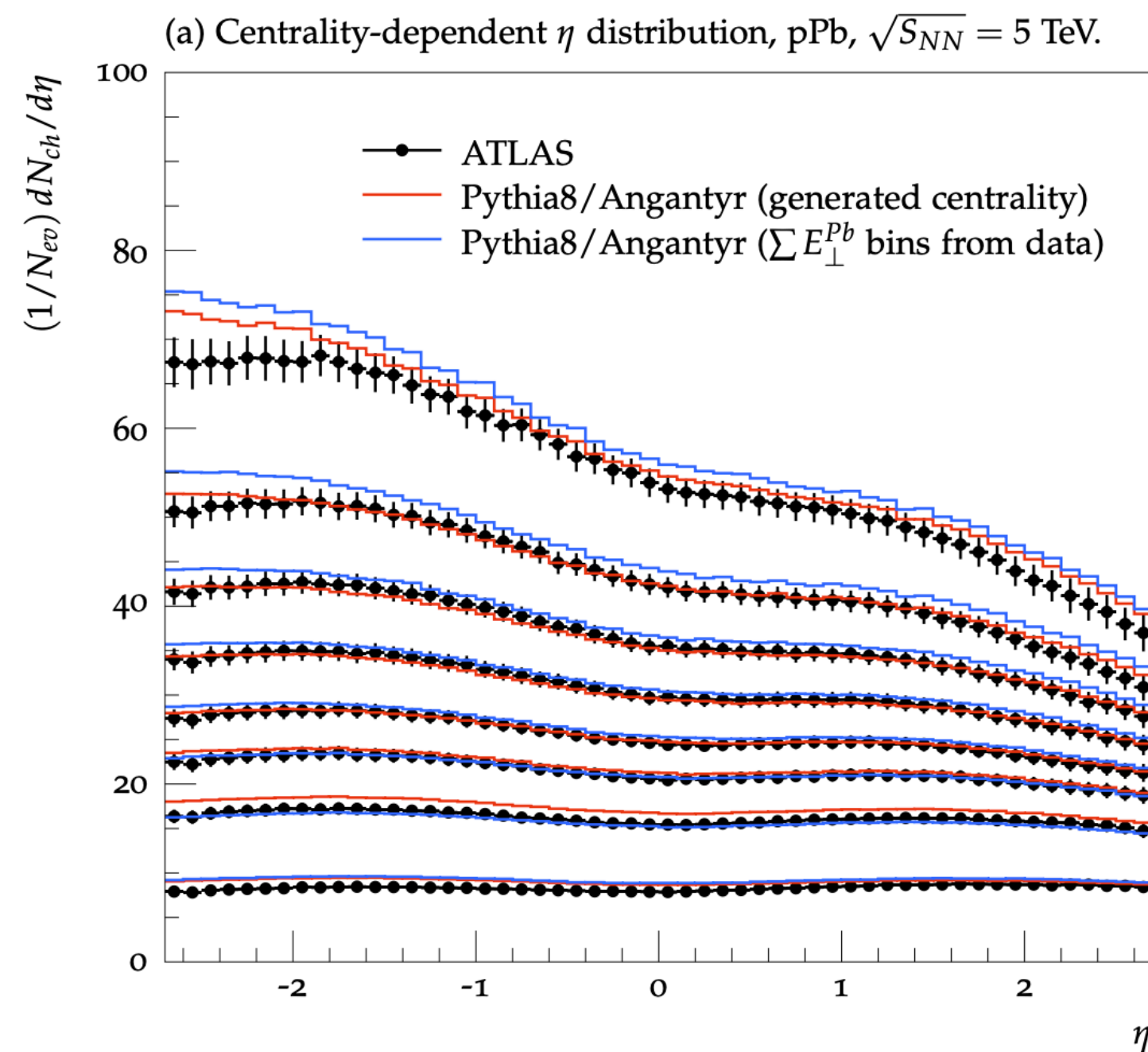
# pA and AA collisions - Angantyr

**Angantyr** uses PYTHIA as its base to do pA and AA collisions.

Does so with **no QGP**, instead keeps using strings.

Initial results of the centrality dependent charged multiplicities are promising (considering no tuning to AA collision data).

- Requires collective effects to describe pA or AA data fully: e.g. cannot reproduce elliptic flow coefficient. Further work and testing required.





# pA and AA collisions - Angantyr

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Does so with no

Initial results of the

- Requires col
- Further work

Questions to explore:

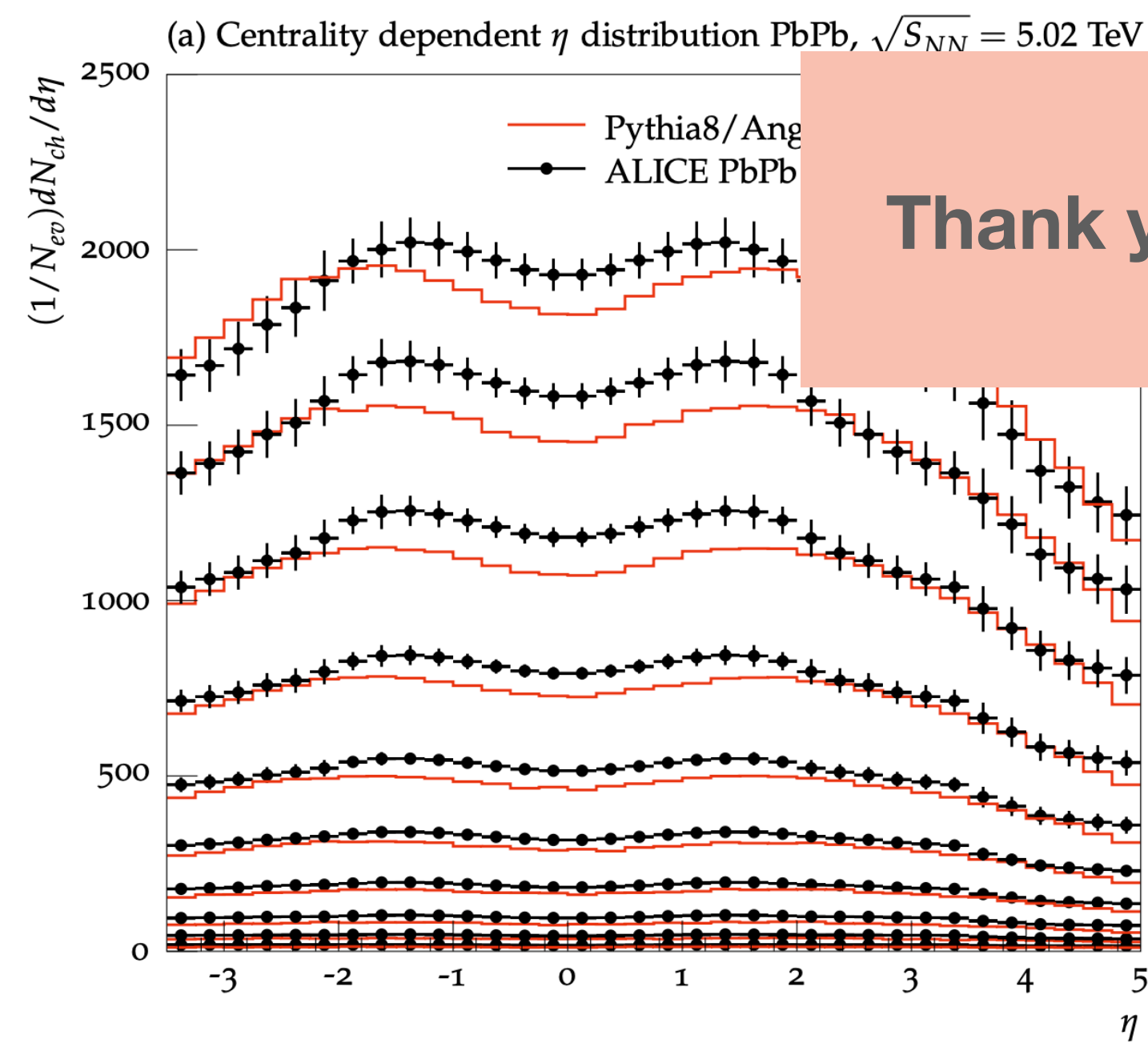
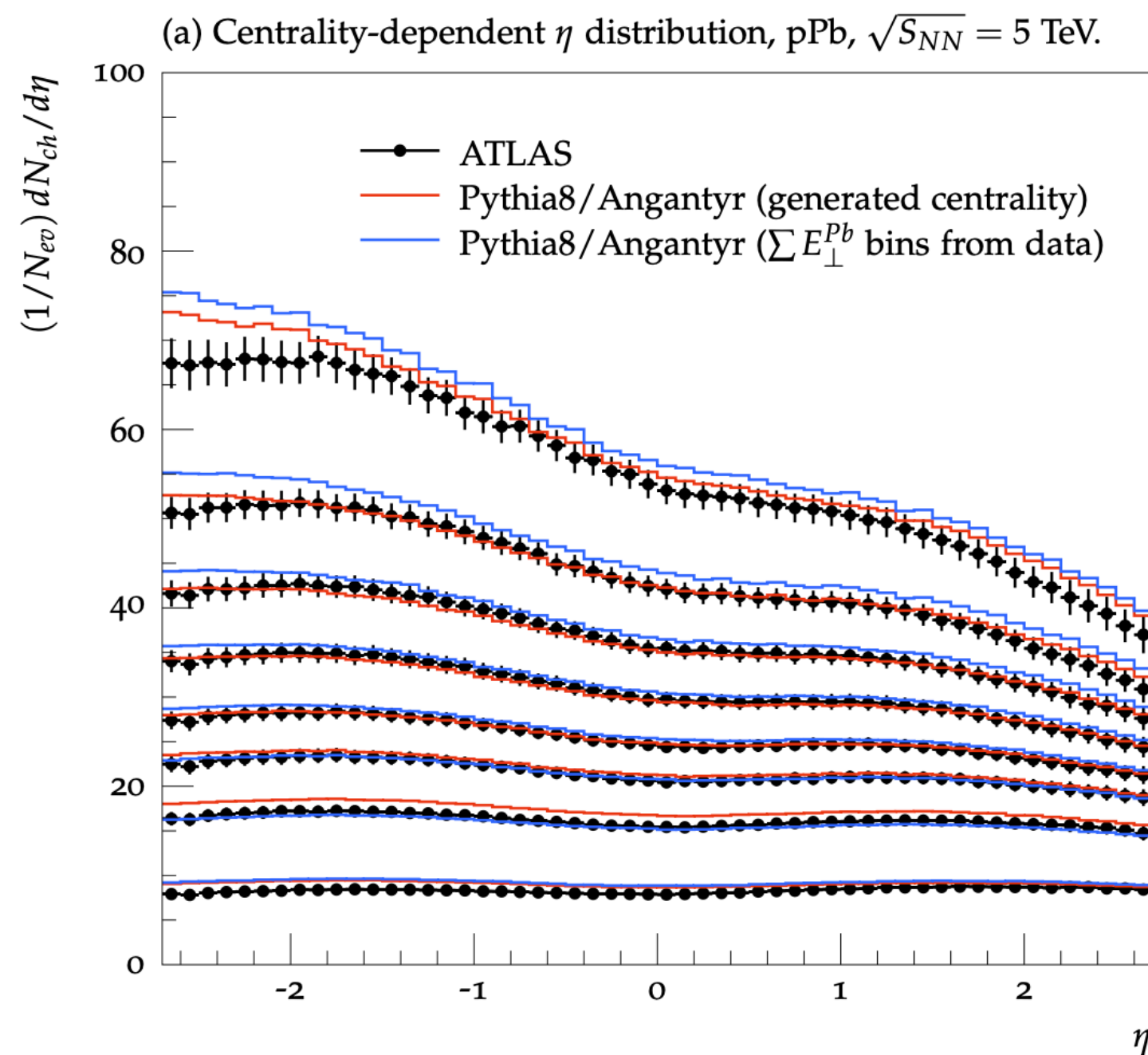
Can strings + string collective effects describe collision events from pp to AA?

OR

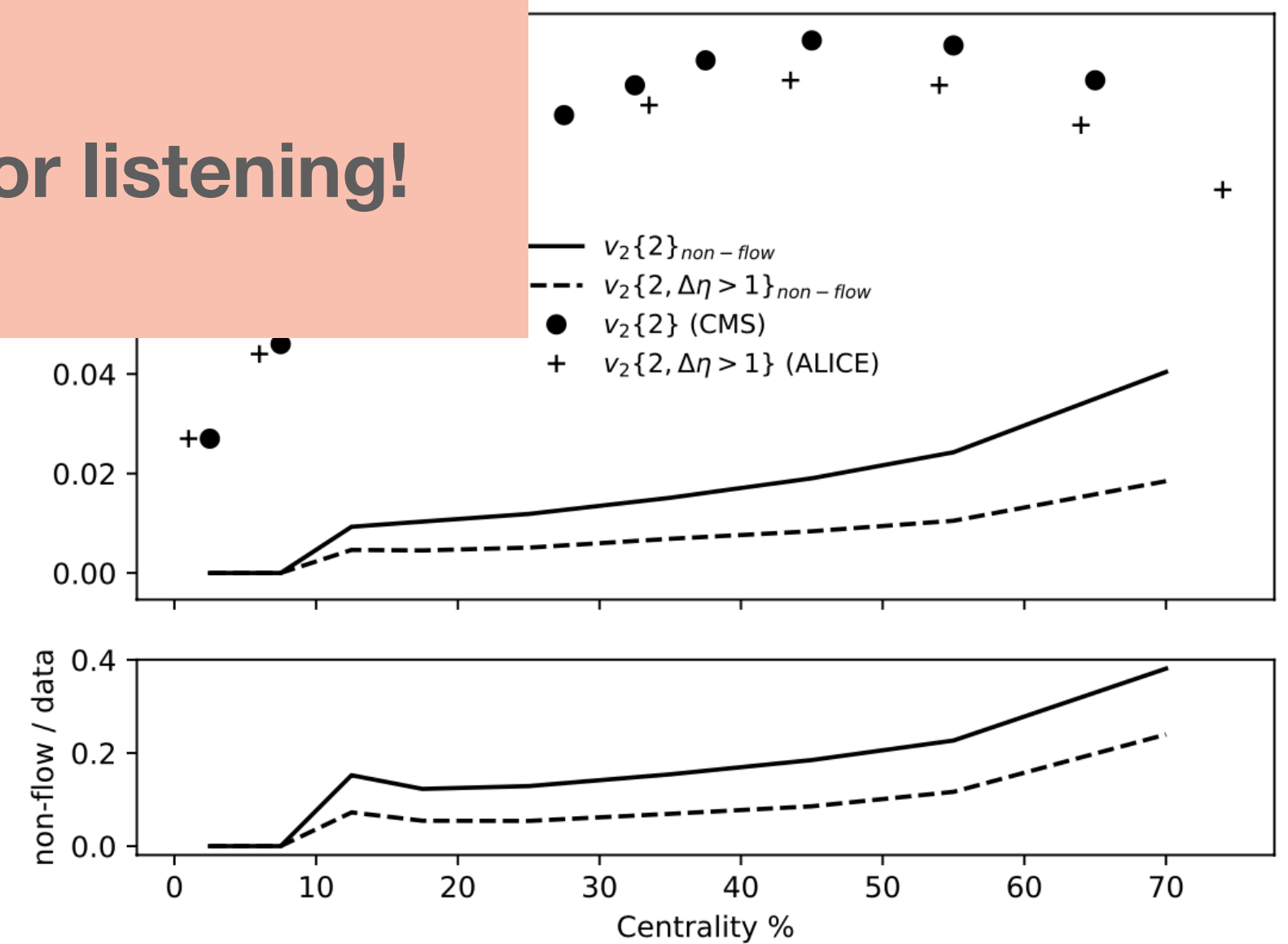
Can we create a smooth transition between strings and QGP?

(collision data).

efficient.



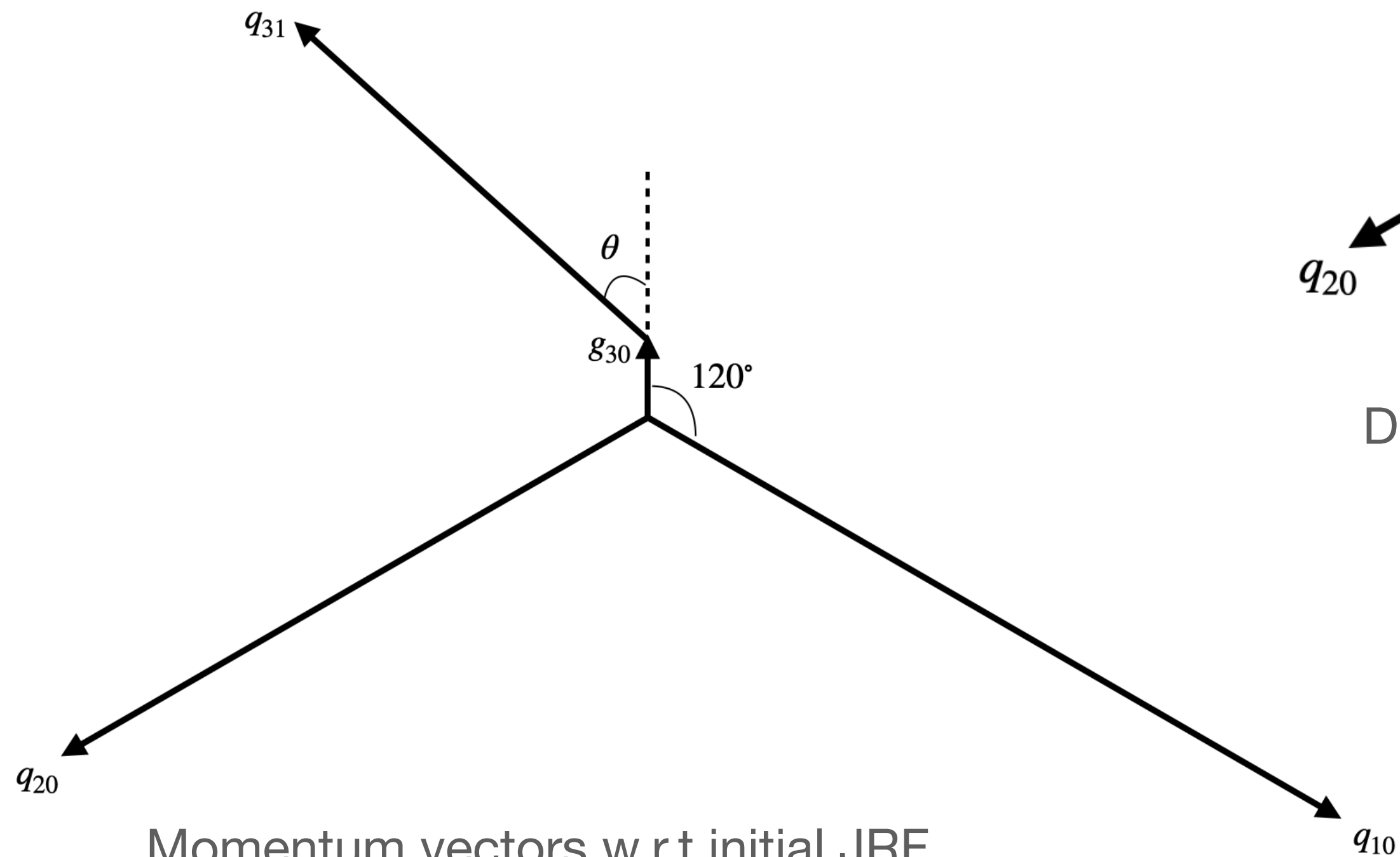
Thank you for listening!



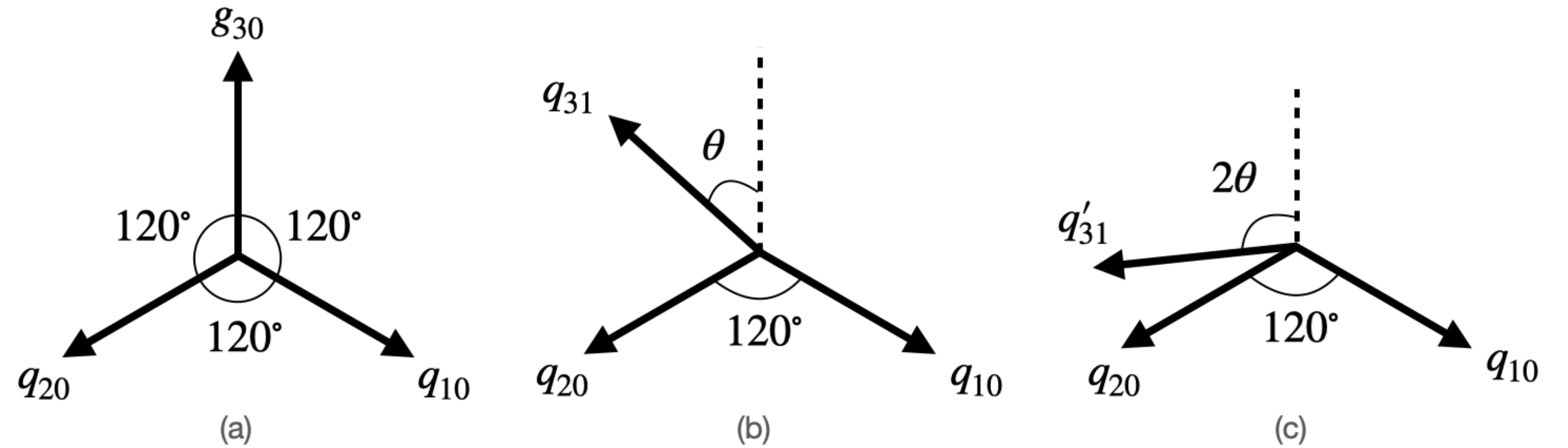
# Backup Slides

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# Gluon hitting junction

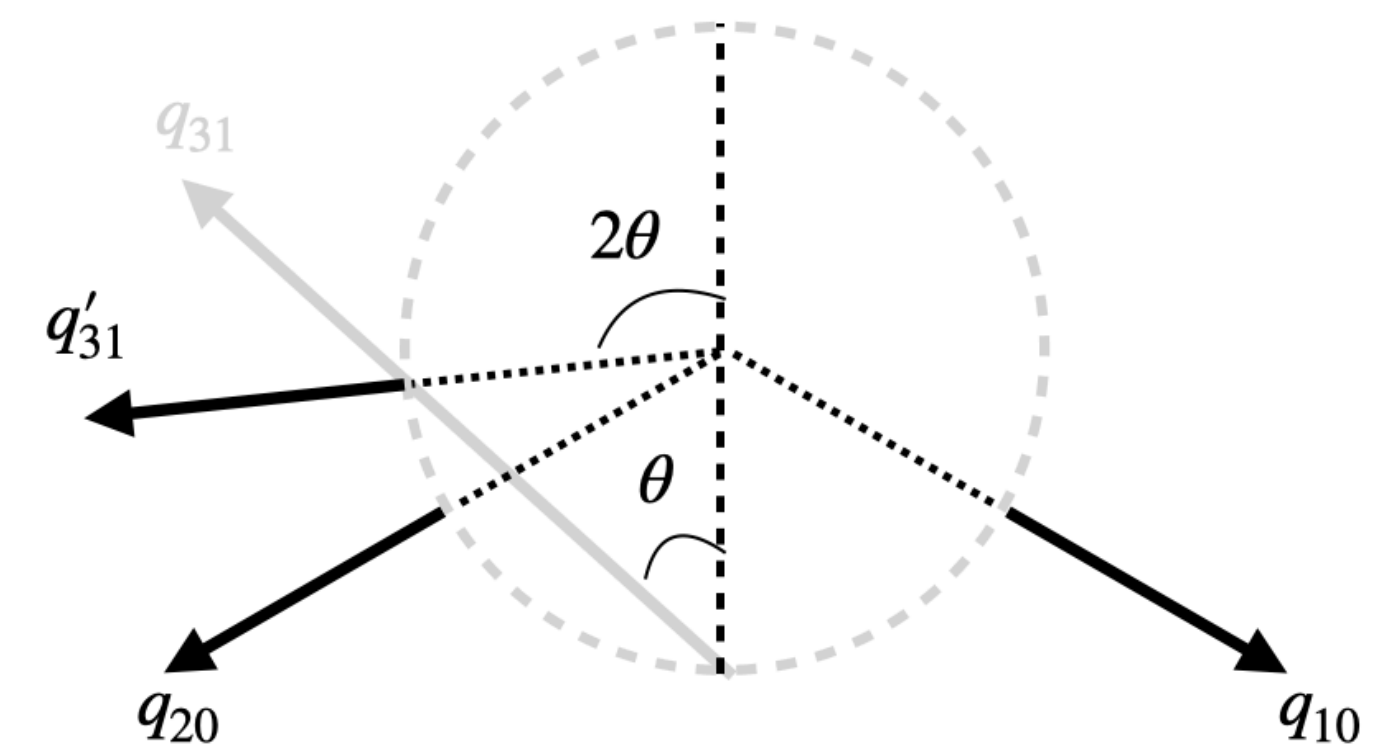


Momentum vectors w.r.t initial JRF  
(3-momenta assumed to be on a single plane for simplicity)



Direction of 3-momentum that defines pull on junction w.r.t initial JRF

Diagram used to calculate 3-momentum in (c):

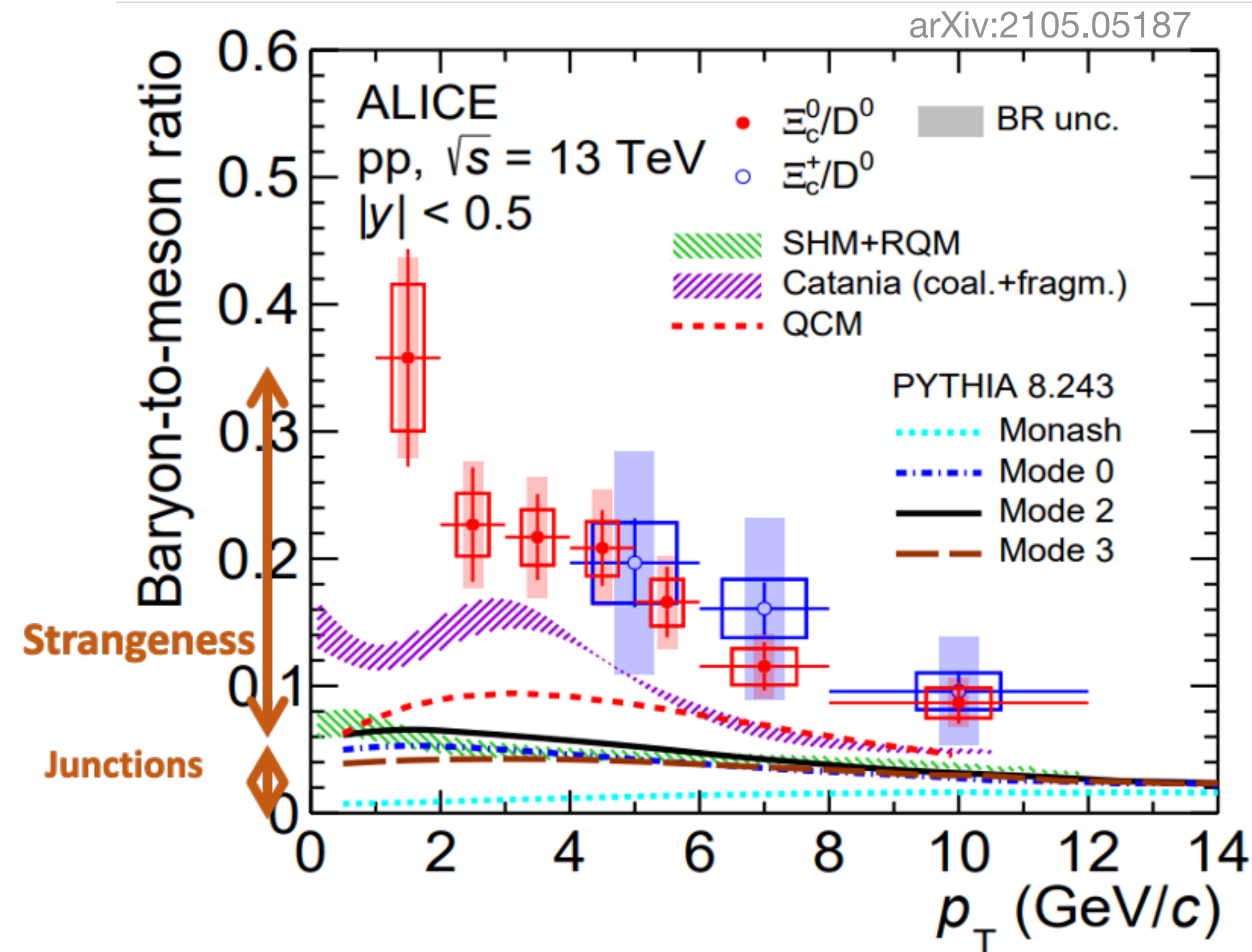


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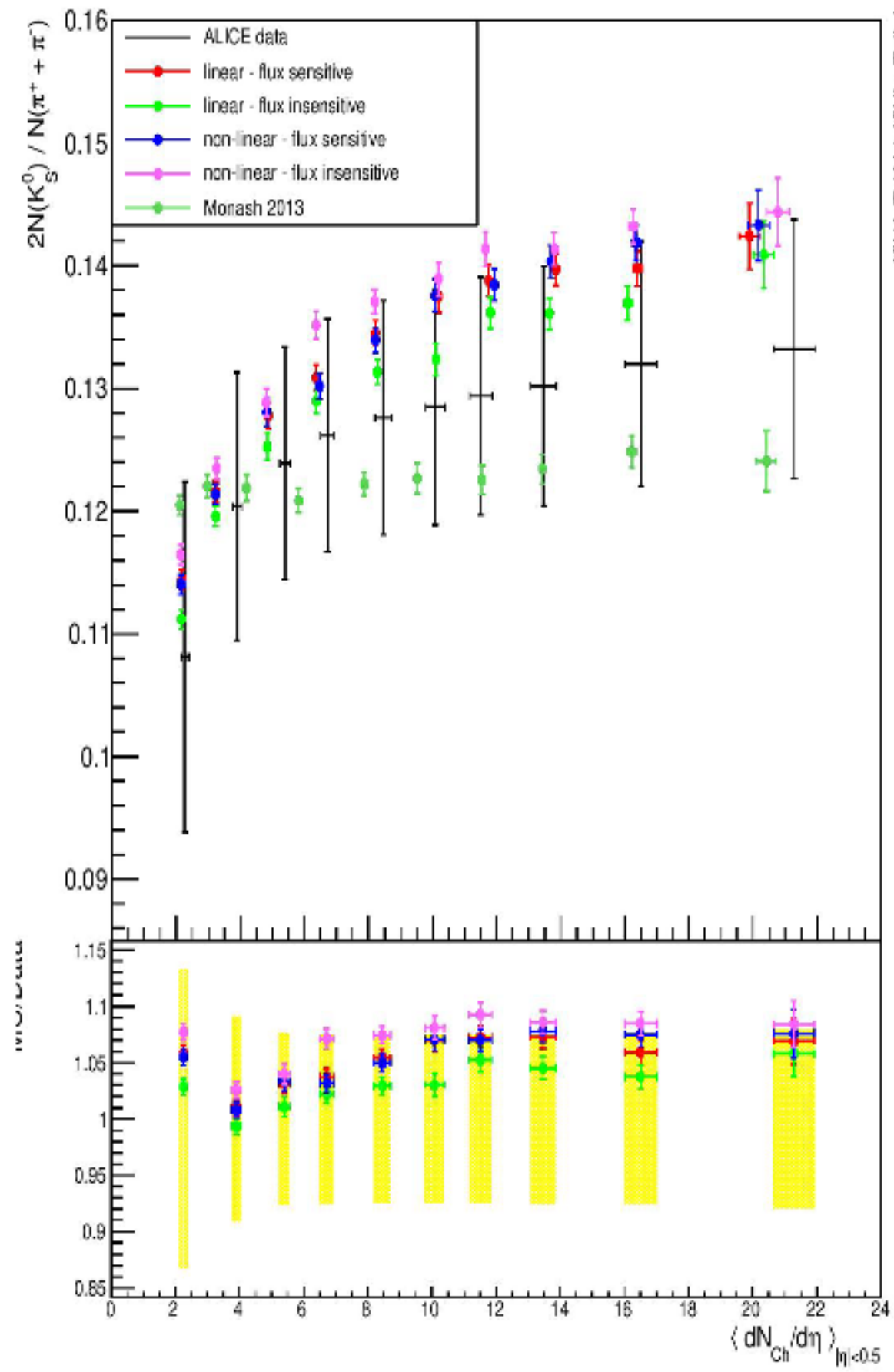
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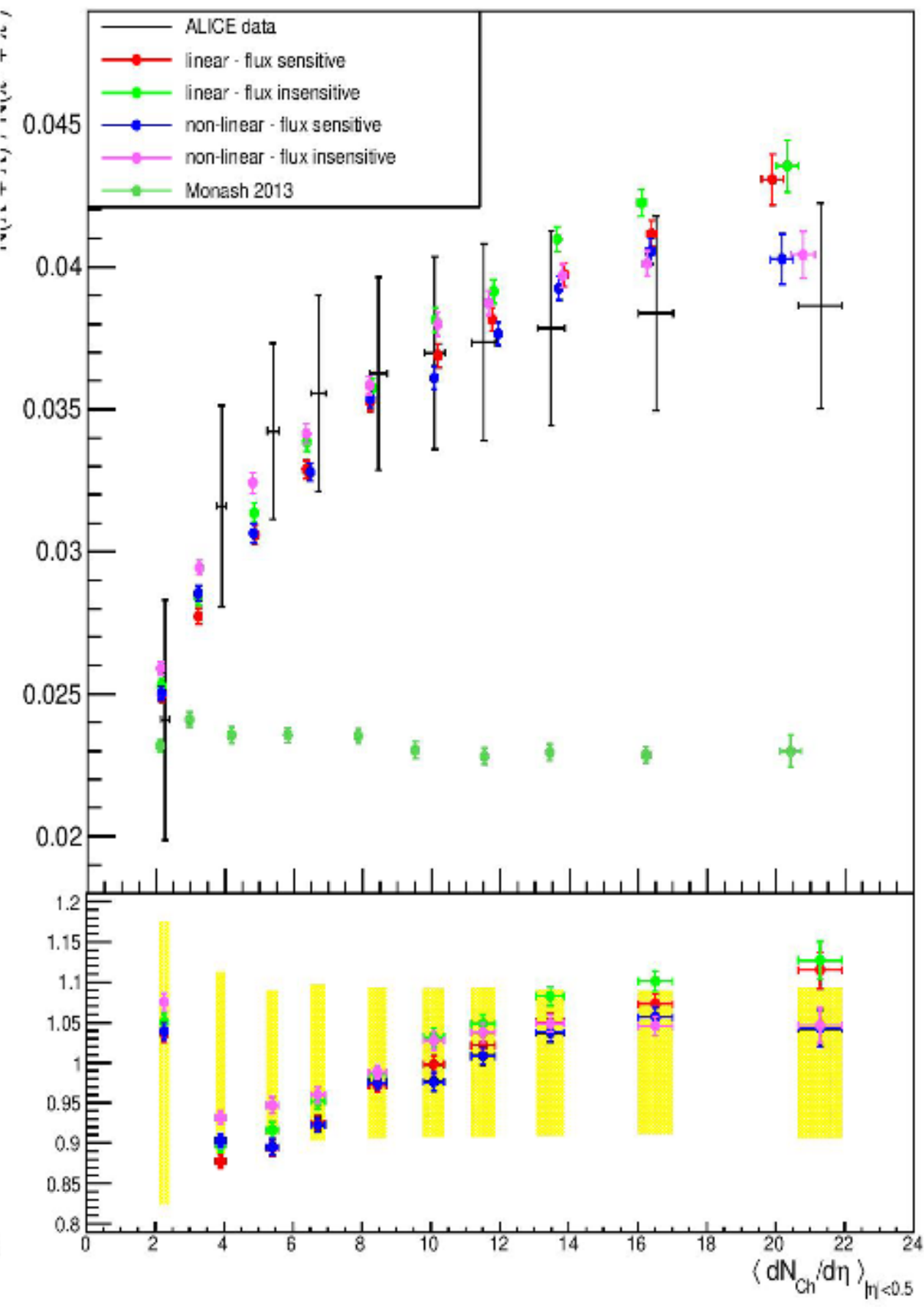


# Close-packing

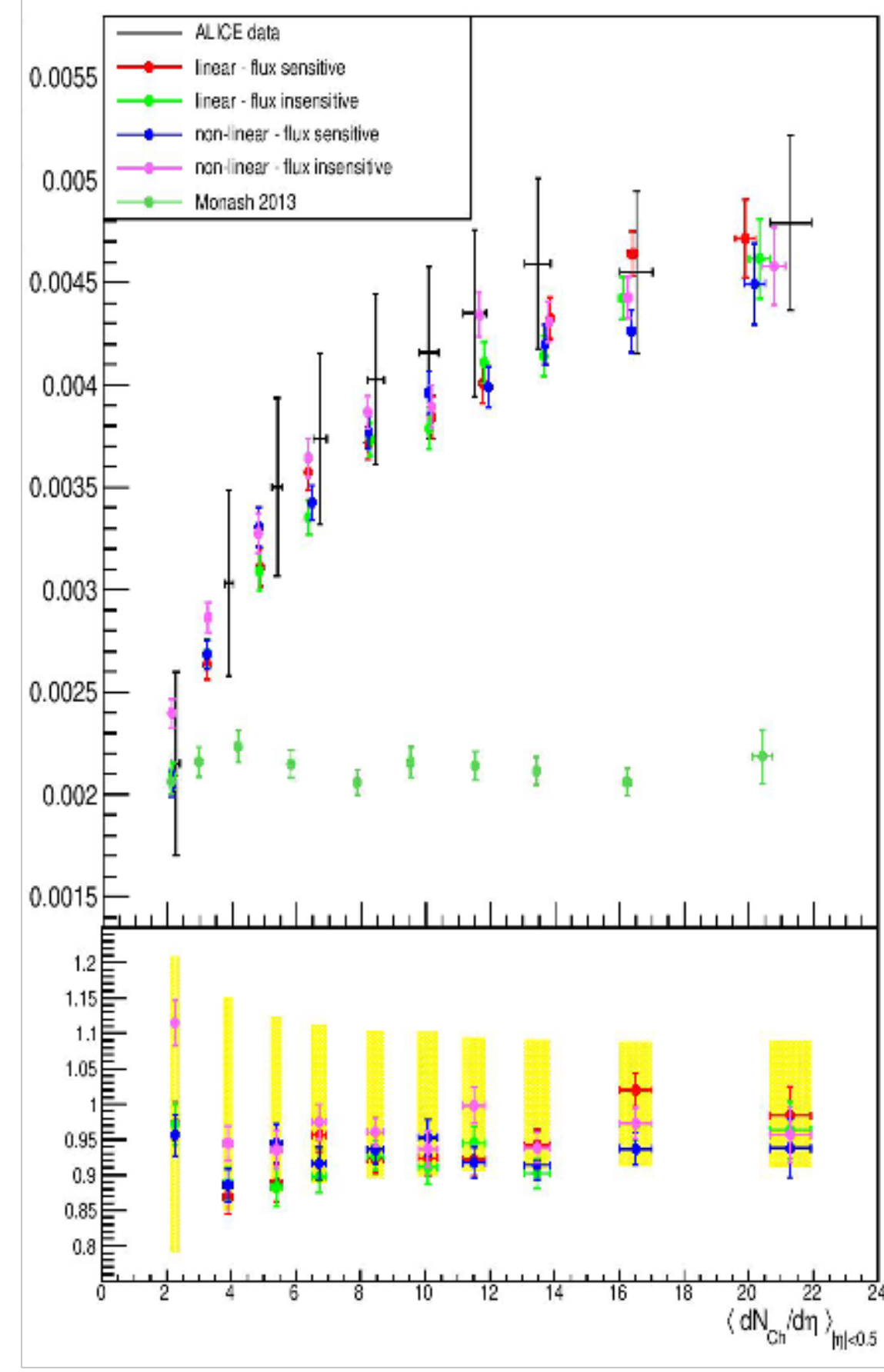
$K_S/\pi$  vs charged multiplicity at  $\sqrt{s} = 7$  TeV



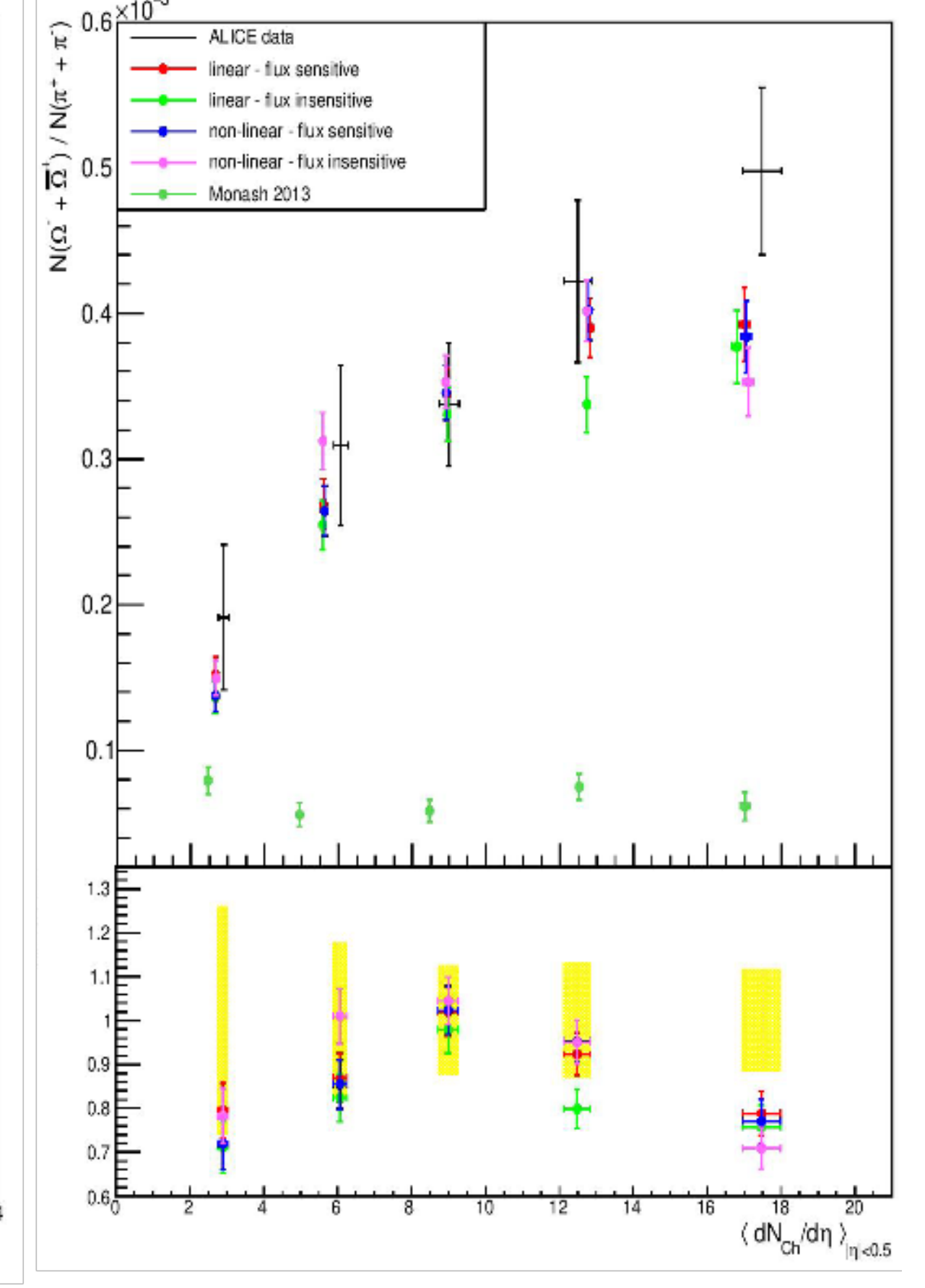
$\Lambda/\pi$  vs charged multiplicity at  $\sqrt{s} = 7$  TeV



$\Xi/\pi$  vs charged multiplicity at  $\sqrt{s} = 7$  TeV



$\Omega/\pi$  vs charged multiplicity at  $\sqrt{s} = 7$  TeV



# Lund String Model

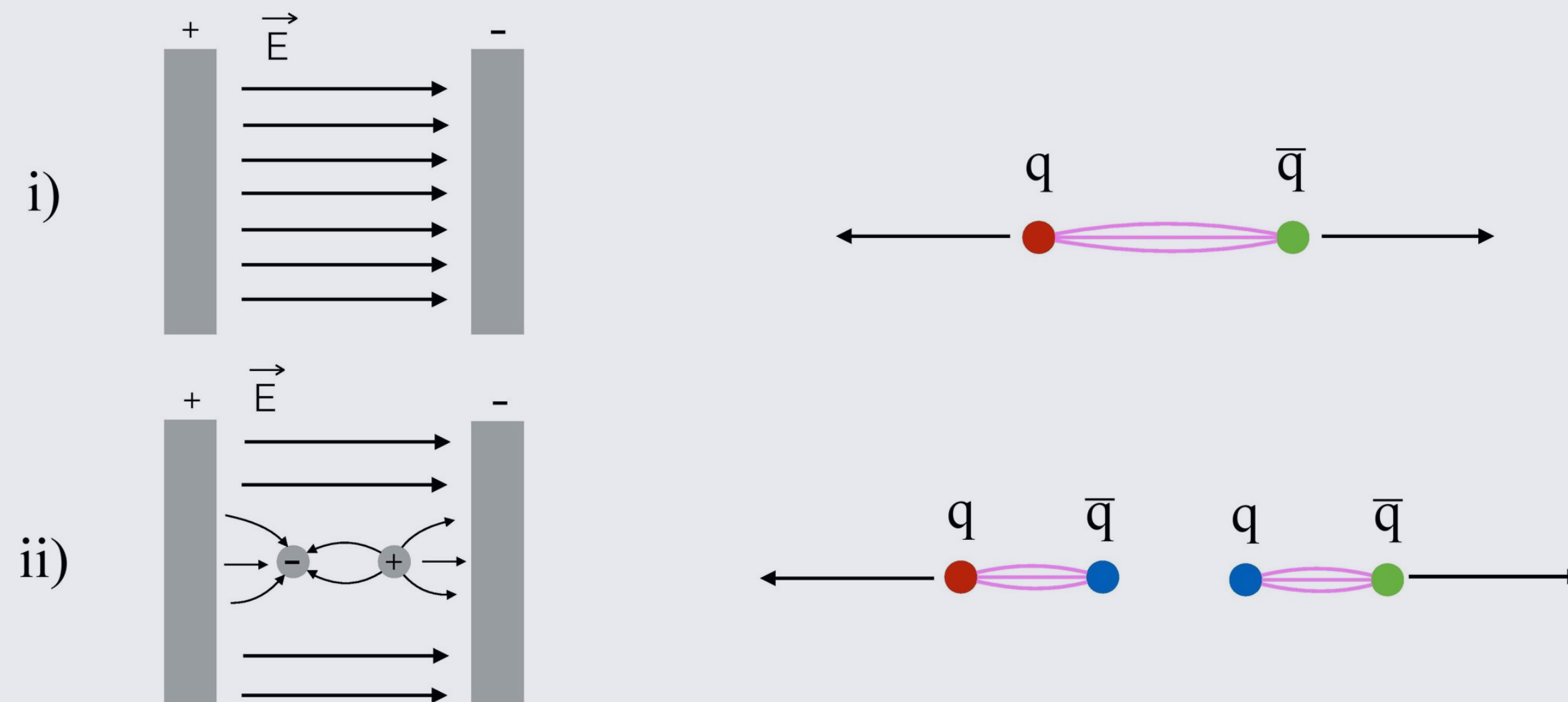
## Symmetric Fragmentation Function<sup>3]</sup>

- Longitudinal component of fragmentation
- Probability hadron will have fraction  $z$  of the total energy

$$f(z) = N \frac{1}{z} (1 - z)^a \exp\left(\frac{-b m_{\perp}^2}{z}\right)$$

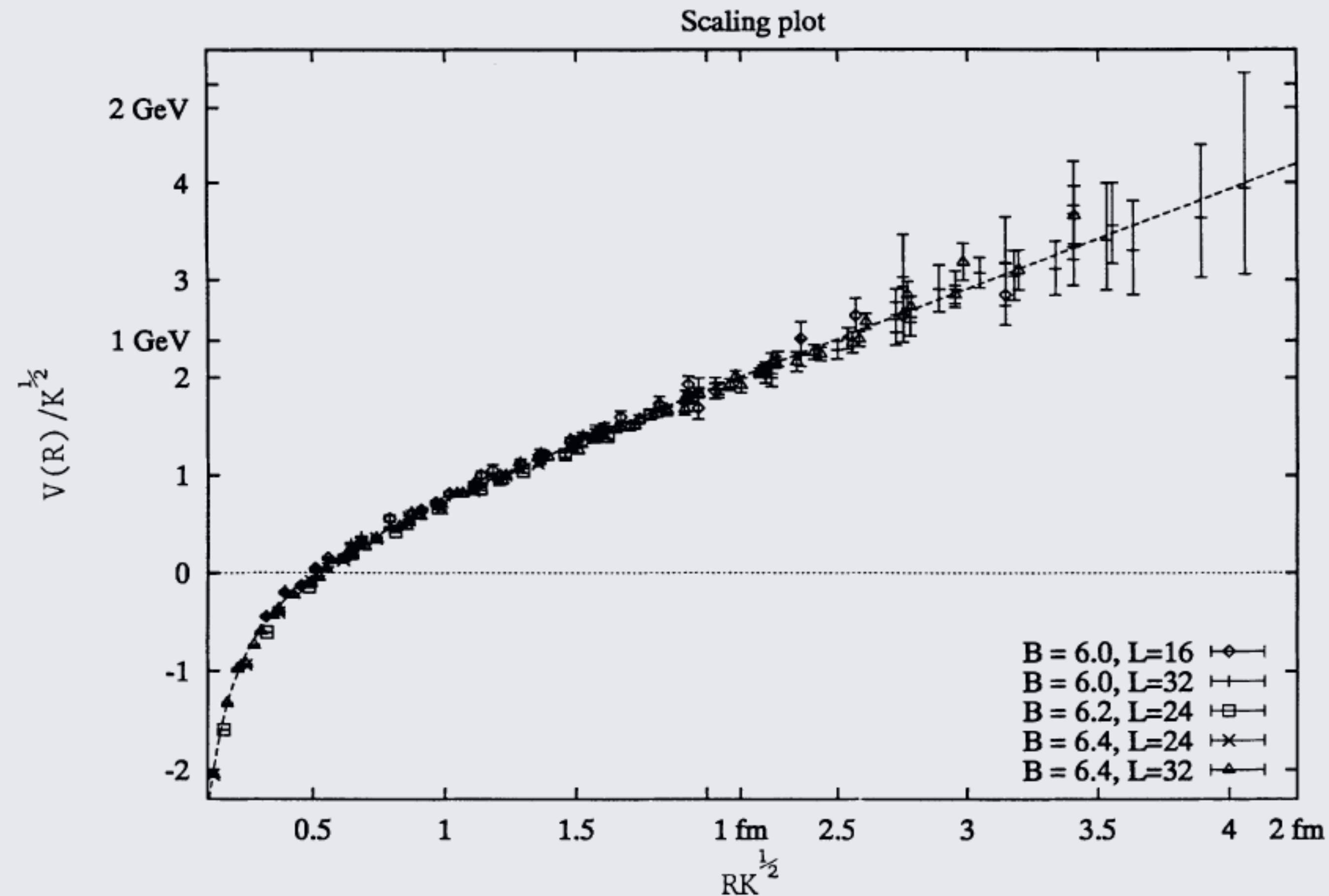
## Schwinger Mechanism<sup>[4,5]</sup>

- Transverse component of fragmentation
- Tunnelling probability for spontaneous creation of quark/antiquark pair due to string break



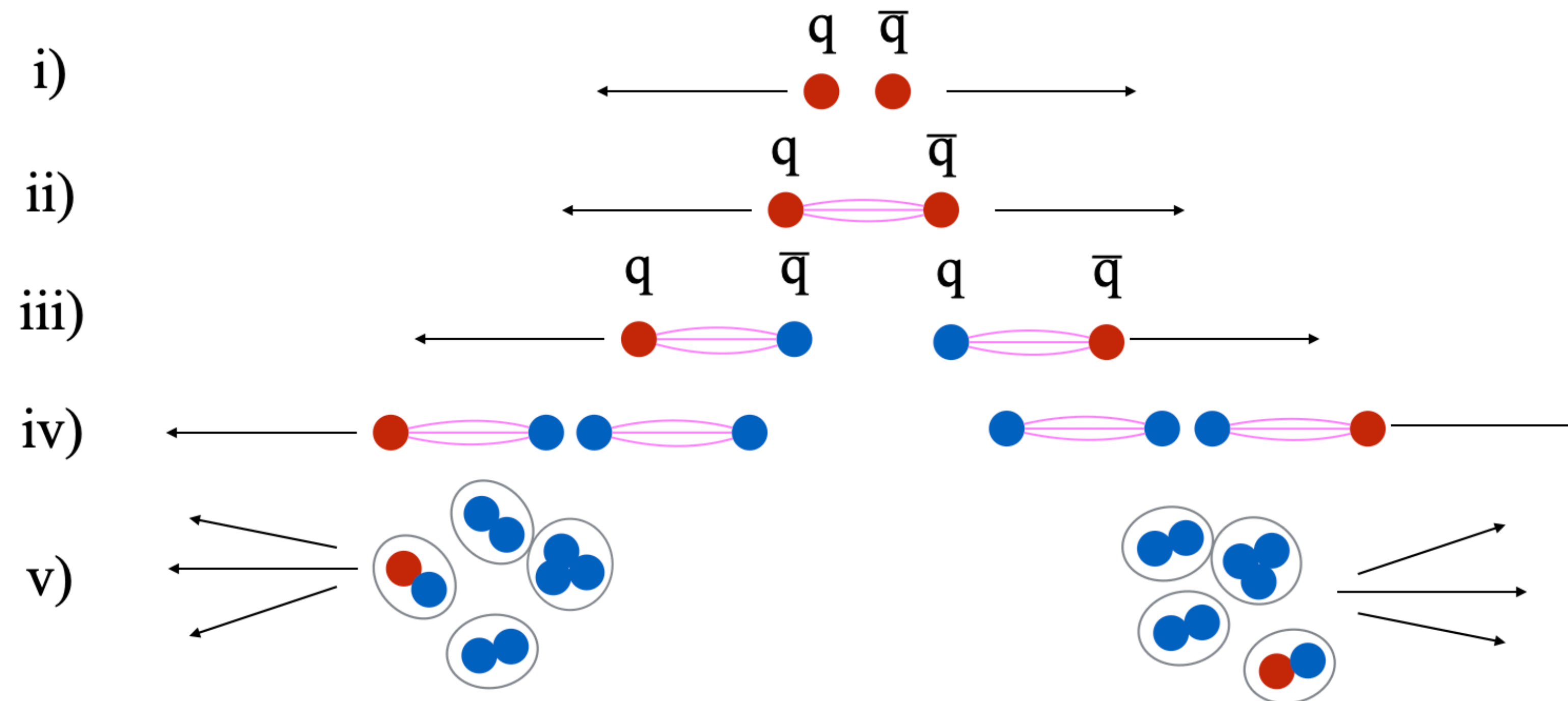
$$\exp\left(\frac{-\pi m_q^2}{\kappa}\right) \exp\left(\frac{-\pi p_{\perp q}^2}{\kappa}\right) = \exp\left(\frac{-\pi m_{\perp q}^2}{\kappa}\right)$$

# Lund Strings – Coulomb Potential



G. S. Bali and K. Schilling, Static quark - anti-quark potential: Scaling behavior and finite size effects in SU(3) lattice gauge theory, [Phys. Rev. D 46 \(1992\) 2636](#).

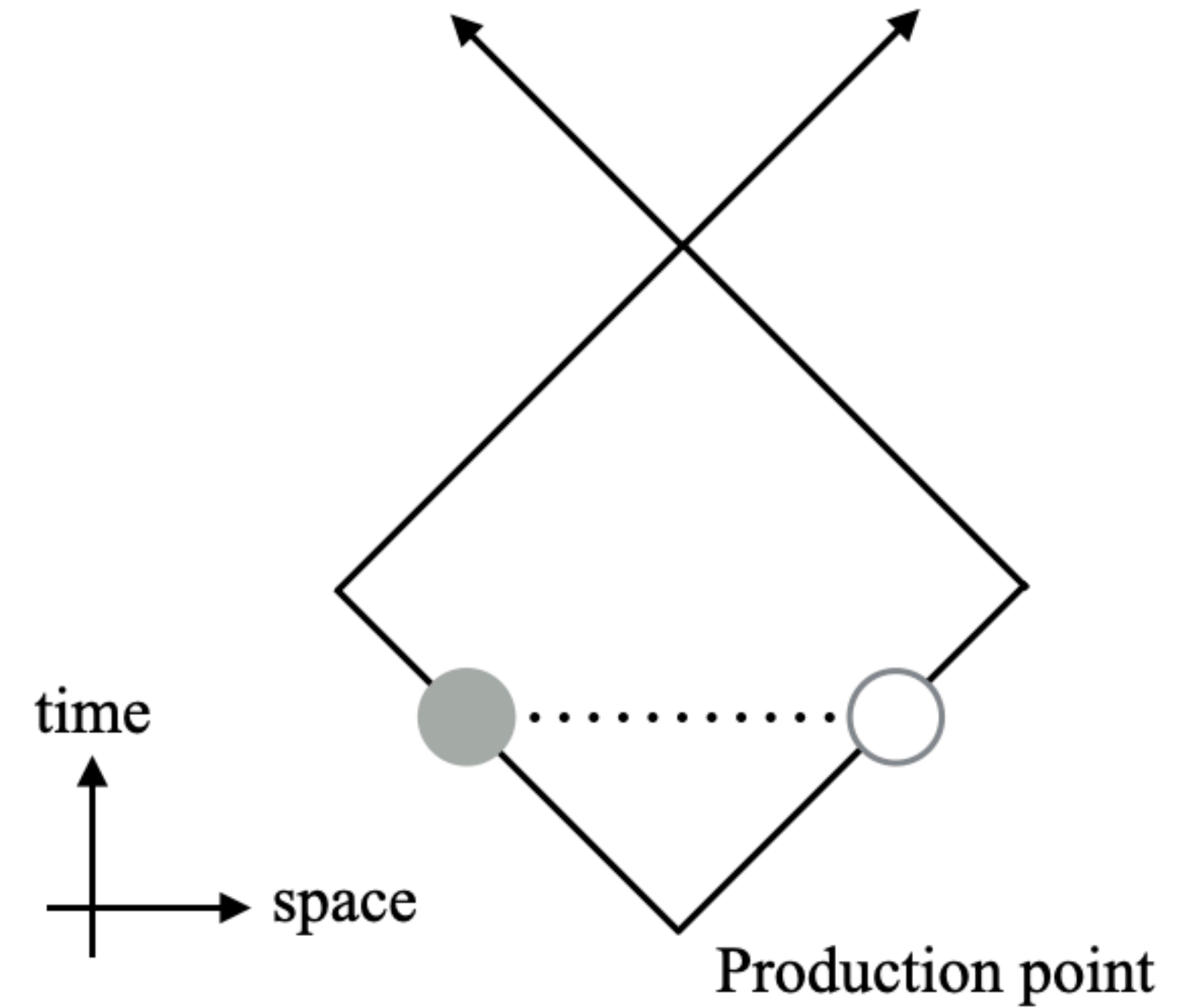
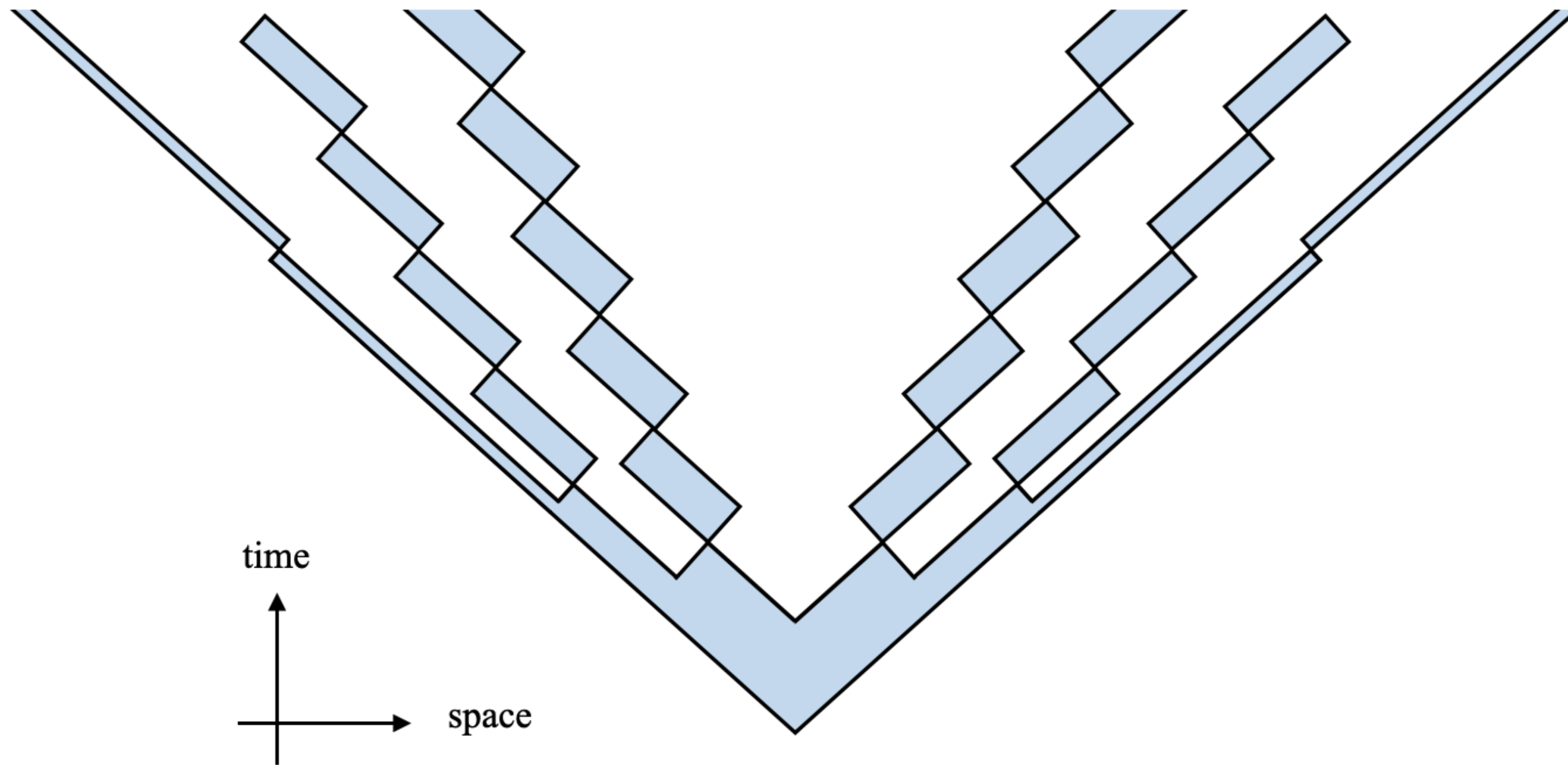
# Hadronisation and the Lund String Model



Note: colours here are not SU(3) colours, but simply to keep track of initial  $q\bar{q}$  pair

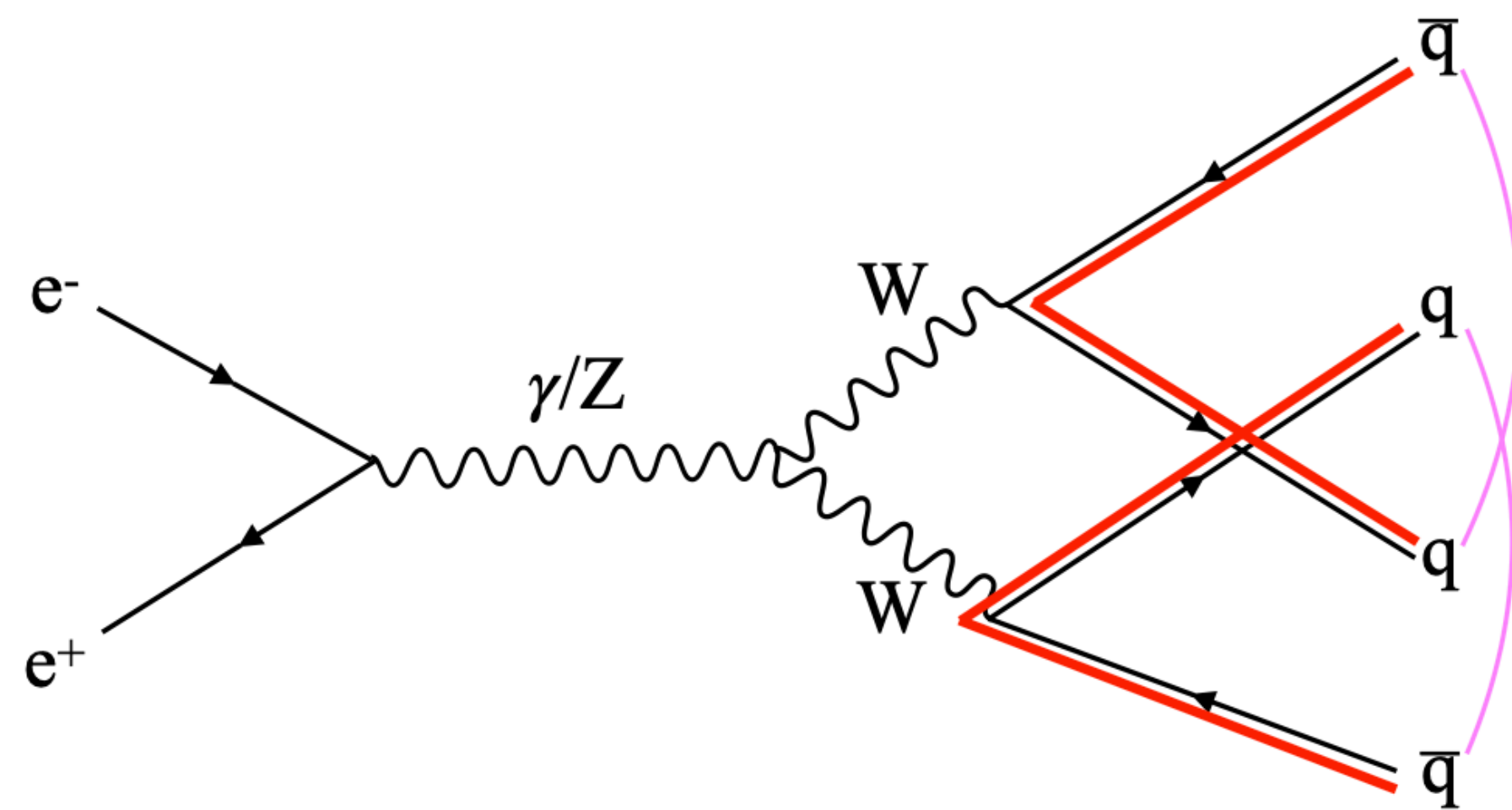


# Lund String Model

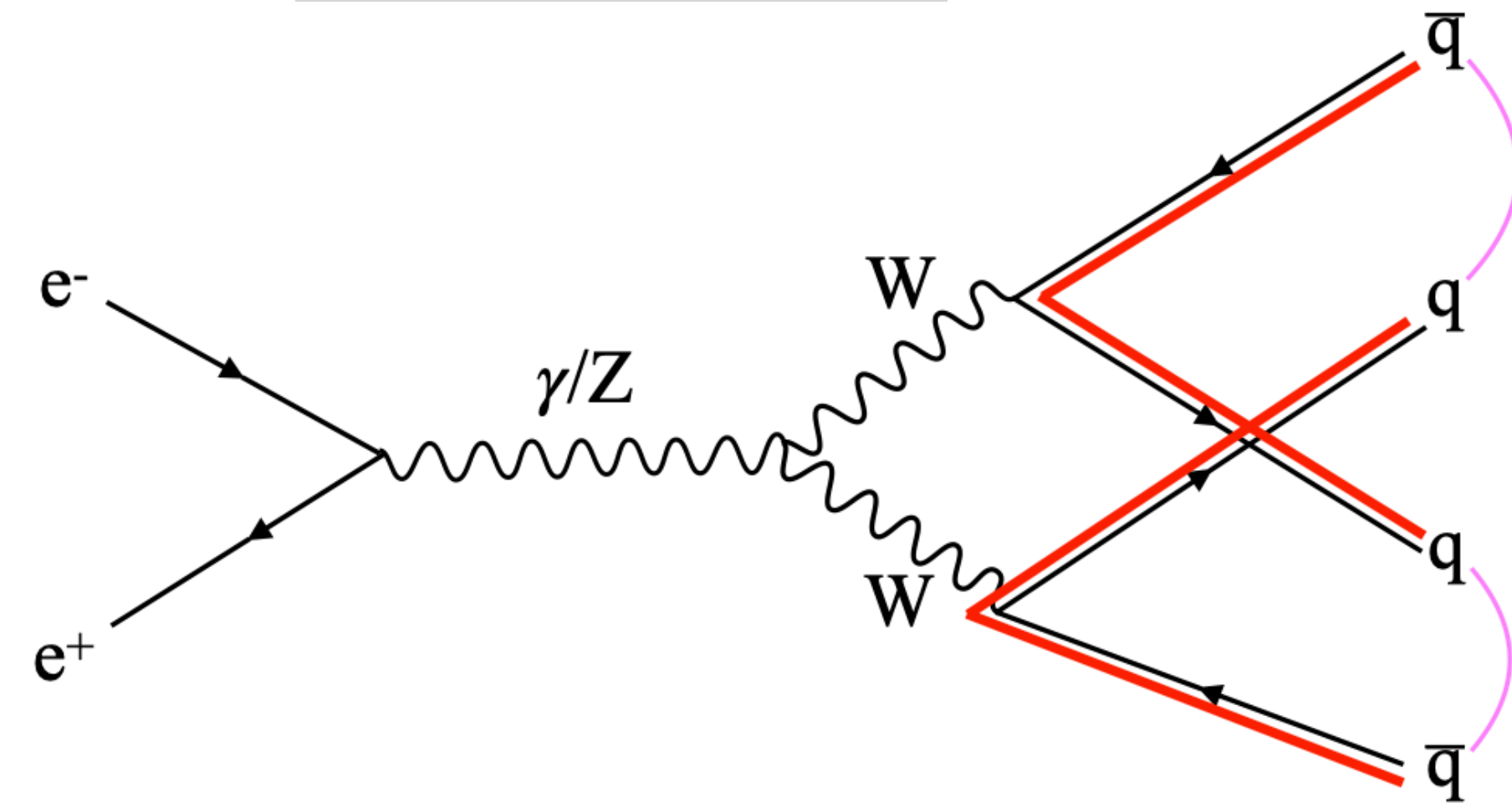


# QCD-based Colour Reconnections

Leading Colour



Alternative Topology



# Implementation in PYTHIA

## ProbStoUD

The probability of the ratio of strange to up/down quarks, is determined by the **Schwinger mechanism**

### Schwinger mechanism

$$\exp\left(\frac{-\pi m_q^2}{\kappa}\right) \exp\left(\frac{-\pi p_{\perp q}^2}{\kappa}\right) = \exp\left(\frac{-\pi m_{\perp q}^2}{\kappa}\right)$$

$$P'(s:u/d) = P(s:u/d)^{\frac{\kappa_0}{\kappa_{eff}}}$$

There are other parameters that also scale with an effective string tension, but **ProbStoUD** is the main one that governs strangeness

# Close Packing

**Collective effect of strings:** multiple strings in the near vicinity contributing to an effective string tension

- Strings counted if they have rapidity overlap with the hadron resulting from the fragmented string
- Increase string tension -> **increase strangeness** (due to the Schwinger mechanism)

**Casimir scaling** is determined by lattice QCD

- Determines the altered string tension of overlapping strings
- $k_P = 0.25$  ,  $k_A = 0.125$

$$\kappa_{eff} = \left( 1 + \frac{k_P p + k_A q}{1 + \frac{p_{\perp Had}^2}{p_{\perp 0}^2}} \right)^{2r} \kappa_0$$

$p$  = parallel strings,  $q$  = antiparallel strings

$k_P$  = tension strength of parallel strings

$k_A$  = strength of antiparallel strings

**Flux sensitivity** : can either be flux sensitive (i.e. follows **Casimir scaling**) or flux insensitive ( $\frac{k_A}{k_P} = 1$ )

**Scaling** : either **linear** scaling (via altering  $k_P$ ) or **non-linear** scaling (via altering  $r$ )



# Close Packing

**Collective effect of strings:** multiple strings in vicinity contribute to effective string tension

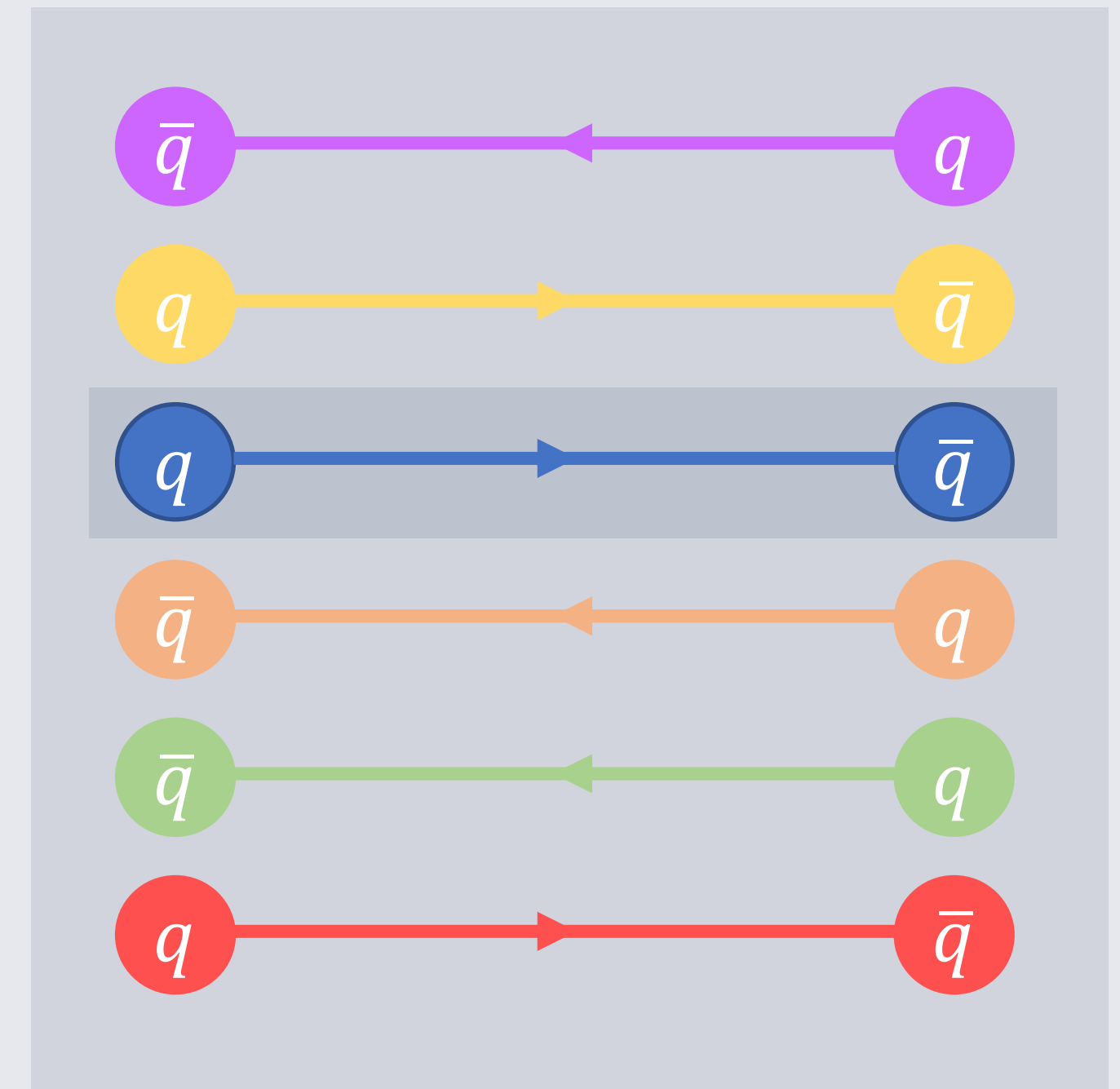
- Strings counted by rapidity overlap
- Increase string tension → **increase strangeness** (Schwinger mechanism)

$$\kappa_{eff} = \kappa_0 + \varepsilon_{\kappa} n_{NSP}$$

$$\kappa_{eff} = (1 + \varepsilon n_{NSP}) \kappa_0$$

$n_{NSP}$  = number of near string pieces

$\varepsilon$  = weight of effect of near string pieces



$p$  = parallel strings = 2;  $q$  = antiparallel strings = 3

**Flux sensitivity :** can either be flux sensitive (i.e. follows **Casimir scaling**) or flux insensitive

**Scaling :** either **linear** scaling (linear weight of  $p$  and  $q$ ) or **non-linear** scaling (raise power of  $r$ )

# Close Packing

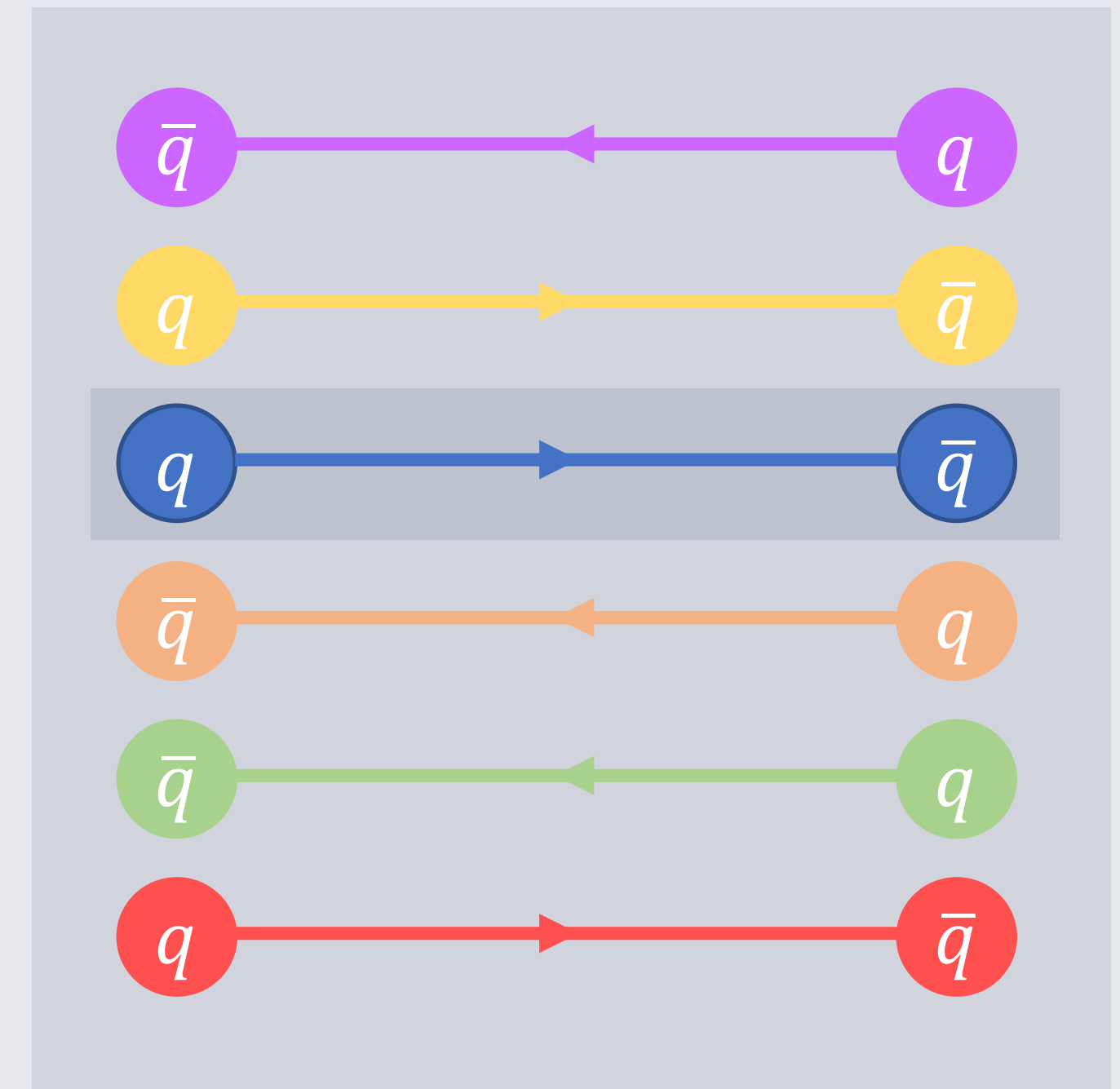
**Collective effect of strings:** multiple strings in vicinity contribute to effective string tension

- Strings counted by rapidity overlap
- Increase string tension → **increase strangeness** (Schwinger mechanism)

**Casimir scaling** is determined by lattice QCD

$$\kappa_{eff} = (1 + 0.25p + 0.125q) \kappa_0$$

$p$  = parallel strings,  $q$  = antiparallel strings



$p = 2; q = 3$

**Flux sensitivity :** can either be flux sensitive (i.e. follows **Casimir scaling**) or flux insensitive

**Scaling :** either **linear** scaling (linear weight of  $p$  and  $q$ ) or **non-linear** scaling (raise power of  $r$ )

# Modified Probabilities

## ProbStoUD

The ratio of probabilities of strange to up/down quarks, is determined by the **Schwinger mechanism**

### Schwinger mechanism

$$\exp\left(\frac{-\pi m_q^2}{\kappa}\right) \exp\left(\frac{-\pi p_{\perp q}^2}{\kappa}\right) = \exp\left(\frac{-\pi m_{\perp q}^2}{\kappa}\right)$$

$$P(s:u/d) = \frac{P(m_s^2)}{P(m_{u/d}^2)} = \frac{\exp\left(-\frac{\pi m_s^2}{\kappa_0}\right)}{\exp\left(-\frac{\pi m_{u/d}^2}{\kappa_0}\right)} \Rightarrow P'(s:u/d) = \exp\left(-\frac{\pi (m_s^2 - m_{u/d}^2)}{\kappa_{eff}}\right) = \exp\left(-\frac{\pi (m_s^2 - m_{u/d}^2) \kappa_0}{\kappa_{eff}}\right)$$

The modified probabilities due to an effective string tension is thus given by:

$$P'(s:u/d) = P(s:u/d)^{\frac{\kappa_0}{\kappa_{eff}}}$$

Same form of modification for **ProbSQtoQQ** and **ProbQQ1toQQ0**.

However **ProbQQtoQ** is a global probability and thus has a different form of modification.

# Modified Probabilities

**ProbQQtoQ** is a global probability modified by:

## Diquark to quark probability

$$P(qq:q) = \frac{\sum_{qq_s} P_{qq_s}}{\sum_q P_q} = \alpha \frac{P_{ud0}}{P_u}$$

Where  $\alpha$  is dependent on the other probabilities.

$$\kappa_{ratioQQ} = 1 + \text{facQQ}^2 \left( \frac{\kappa_0}{\kappa_{eff}} - 1 \right)$$

$$P'(qq:q) = \tilde{\alpha} \left( \frac{P(qq:q)}{\alpha} \right)^{\kappa_{ratioQQ}}$$

- Limit  $\text{facQQ} \rightarrow 1$ ;  $\frac{P_{ud0}}{P_u}$  scales directly with  $\frac{\kappa_0}{\kappa_{eff}}$
- Limit  $\text{facQQ} \rightarrow 0$ ;  $\frac{P_{ud0}}{P_u}$  doesn't scale

## pT Distribution

The width of the  $p_{\perp}$  spectrum is given by  $\sigma^2$ , which is the average value of  $p_{\perp}$ .

$$\langle p_{\perp}^2 \rangle = \frac{\pi}{\kappa_0} \int_0^{\infty} p_{\perp}^2 \exp\left(-\frac{\pi p_{\perp}^2}{\kappa_0}\right) dp_{\perp}^2 = \frac{\kappa_0}{\pi}$$

$$\sigma'^2 = \frac{\kappa_{eff}}{\pi} = \frac{\kappa_0 \kappa_{eff}}{\pi \kappa_0} = \sigma^2 \frac{\kappa_{eff}}{\kappa_0}$$

Therefore increased effective kappa  
→ increased width of the pT spectrum  
→ higher probability of high pT